Urolithin A, a novel natural compound to target PI3K/AKT/mTOR pathway in pancreatic cancer

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Abstract

Pancreatic ductal adenocarcinoma (PDAC) is an aggressive malignancy and is highly resistant to standard treatment regimens. Targeted therapies against *KRAS*, a mutation present in an overwhelming majority of PDAC cases, have been largely ineffective. However, inhibition of downstream components in the KRAS signaling cascade provide promising therapeutic targets in the management of PDAC and warrant further exploration. Here, we investigated Urolithin A (Uro A), a novel natural compound derived from pomegranates, which targets numerous kinases downstream of KRAS, in particular the PI3K/AKT/mTOR signaling pathways. We showed that treatment of PDAC cells with Uro A blocked the phosphorylation of AKT and p70S6K *in vitro*, successfully inhibited the growth of tumor xenografts, and increased overall survival (OS) of *Ptf1a<sup>Cre/+</sup>;*LSL-*Kras<sup>G12D/+;</sup>*Tgfbr2<sup>flx/flx</sup>* (PKT) mice compared to vehicle or gemcitabine therapy alone. Histological evaluation of these Uro A-treated tumor samples confirmed mechanistic actions of Uro A via decreased phosphorylation of AKT and p70S6K, reduced proliferation, and increased cellular apoptosis in both xenograft and PKT mouse models. Additionally, Uro A treatment reprogrammed the tumor microenvironment, as evidenced by reduced levels of infiltrating immunosuppressive cell populations such as myeloid-derived suppressor cells (MDSCs), tumor-associated macrophages (TAMs), and regulatory T-cells (T<sub>regs</sub>). Overall, this work provides convincing preclinical evidence for the utility of Uro A as a therapeutic agent in PDAC through suppression of the PI3K/AKT/mTOR pathway.
Introduction

Pancreatic ductal adenocarcinoma (PDAC) is the third leading cause of cancer-related death in the United States with a 5-year survival rate below 9%. The prevalence of distant metastases and chemotherapeutic resistance are hallmarks of the disease which largely account for its dismal prognosis (1,2). Current standard of care drug regimens including gemcitabine, FOLFIRINOX and nab-paclitaxel/gemcitabine has shown limited clinical efficacy and are often poorly tolerated in patients due to toxic side effects (3). Hence, there is a desperate need to develop novel therapeutic approaches which reduce PDAC tumor burden without producing significant off-target effects.

Natural compounds have garnered increasing attention among the scientific community for their low cost, high bioavailability, and limited toxicity compared to synthetic pharmaceutical agents (4). Mounting evidence suggests that many of these compounds possess intrinsic antioxidant, anti-inflammatory, and antitumor activities (5). In fact, a growing number of Food and Drug Administration (FDA)-approved anticancer agents are derived from either naturally occurring compounds or their derivatives (5,6). Interestingly, population-based epidemiologic studies have shown a strong inverse correlation between consumption of berries, such as black raspberries, pomegranates, and strawberries, and incidence of PDAC (6,7). While the mechanism is poorly understood, it has been postulated that this effect is due to the high concentration of ellagitannins present in these foods. Ellagitannins are hydrolyzed in the gut to release ellagic acid (EA), a compound which inhibits multiple oncogenic pathways which are activated in PDAC such as COX-2, NF-kB, Notch and Wnt signaling. Preclinical studies have shown that targeted blockade of these pathways by EA is successful in reducing epithelial-mesenchymal transition, angiogenesis, fibrosis and pancreatic stellate cell activation in PDAC (8,9). Despite these promising developments, EA is unfortunately poorly absorbed within the
human gut, limiting its efficacy as a therapeutic agent. However, EA is metabolized into a number of downstream compounds through microbial processing, including the urolithin A, B, and C (10,11). Of these, Uro A exhibits potent antioxidant and anti-inflammatory properties, suggesting it may be the dominant compound which is responsible for the intrinsic antitumor activity of EA (12,13). These data suggest that although dietary ingestion of EA is unable to produce therapeutic levels, direct oral administration of Uro A may be a promising target in the treatment of PDAC due to its improved bioavailability and potent antitumor effects. Furthermore, preclinical models have demonstrated that Uro A is well tolerated and does not elicit any adverse toxic effects at clinically relevant doses (11).

Despite their limited efficacy, gemcitabine and 5-FU-based adjuvant chemoradiation have been considered the standard of care for PDAC. To date, the addition of targeted molecular therapies to these cytotoxic compounds has failed to show any significant improvement in improving overall survival in PDAC (14,15), presumably due to redundant signaling pathways and feedback loops within tumor cells (12). Furthermore, overcoming these resistance mechanisms by combining multiple inhibitors is not feasible due to cumulative toxicity. As such, single chemotherapeutic agents that are both well tolerated and able to target multiple kinase pathways simultaneously present novel targets in the treatment of PDAC. Uro A has been shown to downregulate multiple tumor pathways in colon, prostate, and bladder cancer through downregulation of several oncogenes such as Kras and c-myc, upregulation of tumor suppressor genes such as FGFR2 and EGFR, and modulation of enzyme activity, such as CYP1 (13). Despite the promising effects seen in treating other malignancies, the anticancer effect of Uro A in PDAC is currently unknown (8,9).
We hypothesized that Uro A exerts its antitumor effects through inhibition of multiple protumorigenic pathways in PDAC. Our results clearly demonstrated that Uro A downregulated the oncogenic PI3K/AKT/mTOR signaling pathway, induced cell cycle arrest and increased apoptosis. Uro A successfully attenuated tumor growth in both tumor xenografts and genetically-engineered mouse models in vivo by not only disrupting PI3K/AKT signaling, but also inducing significant changes within the immunosuppressive microenvironment of PDAC.

Materials and Methods

Cell lines and drugs

The human PDAC cell lines MiaPaCa2, PANC1, AsPC1, CFPAC1, Capan1, Capan2, SW1990, HPAC and BxPC3 were obtained from American Type Culture Collection (ATCC, Manassas, VA). The K8484 (Pdx1αcre/+;LSL-KrasG12D/+;p53R172H/+ ) cell line was obtained from Dr. Tuveson (Cold Spring Harbor Laboratory, NY). All tumor cells were maintained according to the ATCC guidelines. ATCC cell lines were characterized and verified free of Mycoplasma contamination, tested by Hoechst DNA stain (indirect) and agar culture (direct) methods. Cell authentication was performed by using STR DNA profiling (latest date: June 16, 2016 and July 21, 2017) and cell lines tested negative for Mycoplasma via Genetica cell line testing (Burlington, NC) using eMYCO plus kit (iNtRON Biotechnology). Cells with relatively low passage numbers (< 20) were used in the study.

Uro A synthesis and the structure of the compound as detailed previously (16) and gemcitabine was purchased from Eli Lilly and Company (Indianapolis, IN). AKT activators SC79 and IGF-1
were purchased from Sigma Aldrich (St. Louis, MO) and R&D systems (Los Angeles, CA) respectively.

**Western blotting**

Cell lysis and Western blotting was done as previously described (17). Briefly, cells were washed, lysed, and removed from culture dishes by scraping after treatment. Cell lysis was performed using RIPA buffer (0.1% SDS, 50 mM Tris·HCl, 150 mM NaCl, 1% NP-40, and 0.5% Na deoxycholate) with protease inhibitor cocktail (Sigma, St. Louis, MO) and PhosSTOP phosphatase inhibitor (Roche, Indianapolis, IN, USA). Lysates were sonicated and centrifuged at 10,000 g for 15 minutes at 4°C to collect supernatant. The protein concentration of the lysate was determined by Bio-Rad protein assay kit (Bio-Rad, Hercules, CA). Per lane, 35 µg of whole-cell lysate was separated on NuPAGENovex 4-12% Bis-Tris Gels and transferred on iBlot transfer stack and PVDF membranes using iBlot dry blotting transfer system (Life Technologies). For immunodetection, membranes were incubated with antibodies listed in Supplementary Table S1. The membranes were subsequently incubated with corresponding secondary anti-mouse or anti-rabbit secondary antibodies conjugated with horseradish peroxidase (Jackson ImmunoResearch). Finally, the immunoreactive bands were developed with Pierce ECL Western Blotting Substrate (Thermo Scientific, USA) and recorded on blue basic autoradiography film (Bioexpress).

The human tyrosine kinase array was purchased from R&D Systems (Cat #: ARY003B) and used according to the manufacturer's recommended conditions. Both immunoblots and array intensity were then quantified using Image J image analysis software. Statistical analysis was performed using Prism software (Graphpad Software Inc., La Jolla, CA).
Cell viability assay (MTT)

PDAC cells were seeded at a concentration of 1x10^4 cells per well in 96-well plates. Twenty-four hours after seeding, the attached cells were treated with DMSO or Uro A (0-100 µmol/L) for 48 hours and cell viability was determined by MTT assay (Sigma, St. Louis, MO) according to the manufacturer’s direction. IC\textsubscript{50} was calculated using Prism software (Graphpad Software Inc., La Jolla, CA). Each condition was assayed in triplicate.

Apoptosis assay

Apoptosis was assessed by flow cytometric detection of phosphotidyl serine externalization using the FITC Annexin V Apoptosis Detection Kit II (BD Biosciences, San Jose, CA). MiaPaCa2 cells were treated with Uro A for 24 hours. The cells were then trypsinized gently, washed twice with cold PBS, resuspended in 1X binding buffer, and then incubated with 5 µl of FITC Annexin V and 5 µl of PI. After incubation for 15 minutes at RT (25°C) in the dark, 400 µl of 1X binding buffer was added, and each tube was analyzed within 1 hour using the FACSCalibur flow cytometer (BD Biosciences, San Jose, CA). The percentage of cells present in each compartment was measured and analyzed with Cell Quest software (BD Biosciences, San Jose, CA).

Wound-healing assay

Cells were treated with mitomycin C (0.5 µg/ml) for four hours prior to wounding. Wounds were made across the cell monolayer by a sterile pipette tip. After wounding, BxPC3 and
MiaPaCa2 cells were treated with DMSO or Uro A (0-50 µmol/L) for 36 hours. Phase contrast images were taken. After every 12 hours of wound healing study, the cells were washed and treated with Uro A or DMSO for up to 36 hours and observed for recovery post-wounding.

**Cell cycle analysis**

Cells were harvested, stained, washed, and resuspended as described before (18). Cell fluorescence signals were determined using a FACS Caliber flow cytometer and analyzed with its Cell Quest software.

**Xenograft models**

Athymic nude mice – Foxn1 nu/nu (4-5 weeks old) – were purchased from Harlan Sprague Dawley, Inc. Subcutaneous tumors were established by injecting $2 \times 10^6$ MiaPaCa2 or PANC1 cells into the flank of a 6-week-old Fox1-nu/nu mouse (n=5 in each group) as previously detailed (18). MiaPaCa2 and PANC1 were chosen because they harbor mutations typical of human pancreatic cancer (19). Uro A (20 mg/kg/daily) daily (5 days/week) by oral gavage was initiated when the subcutaneous tumors reached 200 – 250 mm³ size. Uro A or vehicle (10% glucose in water) was administered by oral gavage for thirty-three (MiaPaCa2) and forty-two (PANC1) days, and the tumor volume was measured weekly. The subcutaneous tumor volume and percent body weight change was recorded as previously described (18). Growth curves for tumors were plotted as the mean volume ± standard deviation (s.d.) of tumors for mice from each group. At the end of the study, animals were sacrificed and primary tumors were removed for further analysis.
Mice

Ptf1a<sup>Cre/+</sup>, Tgfbr2<sup>flox/flox</sup> and LSL-Kras<sup>G12D/+;Tgfbr2<sup>flox/flox</sup></sup> mice were provided by Dr. Hal Moses (Vanderbilt University Medical Center, Nashville, TN). These 2 lines were intercrossed to generate Ptf1a<sup>Cre/+;LSL-Kras<sup>G12D/+;Tgfbr2<sup>flox/flox</sup></sup> (PKT) mice on a C57Bl/6 background. Genotyping of alleles was performed using oligonucleotide primers as described previously (18,20).

Treatment of PKT mice

PKT mice were treated with vehicle or Uro A and/or gemcitabine. Mice in the Uro A (20 mg/kg/day) arm received treatment by oral gavage 5 days/week and received twice-weekly intraperitoneal injections of gemcitabine (20mg/kg), starting at 4 weeks of age. Mice were euthanized and dissected after 3 weeks unless they were part of the survival arm. Due to the irregularity of the tumor dimensions, size was determined by weighing the entire tumor. Tumor tissue was processed for further immunohistochemical examination. Overall survival was determined by log-rank analysis using statistical software package R (version 3.3.2).

Immunohistochemistry

Tissues were fixed and immunostained using antibodies against Ki67 and cleaved caspase 3 (Supplementary Table S1). Stained tissues were evaluated by an expert pathologist (N. K.). Immunostained slides were imaged using Leica microscope (Leica Microsystems, Inc. Buffalo Grove, IL) and quantified using Image J. Images were adjusted to exclude areas containing obvious histologic artifacts, such as tissue folds or nonorganic material, from the digital image. Calculated
percentage of positive cells stained relative to total area was analyzed by applying a scale for relative intensity and was reported as relative expression of protein staining.

**Flow cytometry**

PKT mouse pancreas was minced and digested with 1mg/ml Collagenase P (Roche, Indianapolis, IN) and 2mg/ml Collagenase IV (Gibco, Grand Island, NY) for 30 minutes at 37ºC. The dissociated tissue was then filtered to remove the large chunks, washed with PEB, and re-filtered to obtain single cell suspensions. Single cell suspensions were prepared for flow cytometry by staining for Tregs and MDSCs with the following antibodies: CD45 PE-Cy7, CD3 APC, CD4 AF700, CD8 PerCpCy5.5, Foxp3 PE, CD25 APC-Cy7, CD11b AF700, Gr1 FITC, and Live/Dead Aqua (Supplementary Table S2).

**Statistical analysis**

Descriptive statistics were calculated using Microsoft Excel and Prism software (Graphpad Software Inc., La Jolla, CA). Results are shown as values of mean ± s.d. unless otherwise indicated. Statistical analyses of immunohistochemistry data were performed using Student’s t test with P < 0.05 taken as significant, except where indicated otherwise. Statistical analyses of PKT tumor weight data were performed using the ANOVA followed by Tukey’s multiple comparisons test to determine P-values.

Statistical analysis for Figure 3B, Figure 3C, and Figure 4C were adjusted using area under the growth curve (aAUC) approach (21). P-values were obtained from permutation test to compare aAUCs of tumor growth curves between groups. Kaplan-Meier survival analysis was performed,
and survival differences between groups were assessed with the log-rank test. All statistical analysis was performed using statistical software package R (version 3.3.2).

**Study approval**

All experiments were performed in compliance with the regulations and ethical guidelines for experimental and animal studies of the Institutional Animal Care and Use Committees at the Vanderbilt University Medical Center and the University of Miami (#15-057 and #18-081).

For additional experimental procedures please refer to Supplementary Materials and Methods.

**Results**

**Uro A treatment inhibits PDAC cell proliferation, migration, and enhances apoptosis**

Nine PDAC cell lines were tested for sensitivity to Uro A *in vitro* using a cell viability assay (Fig. 1A). IC$_{50}$ values of Uro A for these cell lines were determined 48 hours post-treatment (Supplementary Table S3). Briefly, Capan1 and HPAC (IC$_{50}$, 10.23-10.28 µmol/ L) displayed the most sensitive response and CFPAC1 and AsPC1 (IC$_{50}$, 40.39-62.28 µmol/L) showed the least sensitivity to Uro A treatment. MiaPaCa2, BxPC3 and PANC1 (IC$_{50}$, 15.8- 24.8 µmol/L) cell lines displayed intermediate sensitivity and hence were chosen to ascertain the anti-neoplastic effects of Uro A in further experiments. Other Uro derivatives such as Uro B and C, on the other hand, failed to exhibit growth inhibitory effects as effectively as Uro A (Supplementary Fig. S1A and S1B). Previous studies have established that Uro A doses up to 100 µM are clinically tolerated (22,23).

In order to investigate the mechanism of PDAC growth inhibition by Uro A, we examined its effect on the inducing apoptosis (Fig. 1B and Supplementary Fig. S2A) and/or cell cycle alterations.
by assessing sub-G₀, G₁, S and G₂ populations (Supplementary Fig. S2B). Uro A treatment resulted in a dose-dependent increase in both the sub-G₀ (PI positive) and apoptotic (annexin V positive/PI negative) populations at concentrations at or above 25 µM, suggesting that Uro A leads to PDAC cell death. Additionally, we tested migratory potential of PDAC cells by using a wound healing assay wherein we noted a concentration-dependent reduction in cell migration in response to Uro A (Fig. 1C), which correlated with the cell line sensitivity to Uro A treatment measured using a cell viability assay (Supplementary Table S3).

**Uro A treatment downregulates PI3K/mTOR pathway in PDAC cells**

To explore the regulatory molecular mechanisms underlying the growth-inhibitory effects of Uro A in human PDAC cells, we first screened for alterations in the inactivation of multiple cellular kinase pathways in MiaPaCa2 cells exposed to Uro A (25 µmol/L) for 3 hours (Supplementary Fig. S3). The phosphokinase array data revealed a significant decrease in the phosphorylation of AKT (T308) and p70S6K (T421/S424) upon Uro A treatment. AKT and p70S6K enhances proliferation, inhibits apoptosis, and promotes invasion and metastasis in PDAC (24). AKT activity is dependent on phosphorylation at two sites: T308, which is activated by phosphoinositide-dependent kinase-1 (PDK1), and S473, which is activated by PI3K and mTORC2 complex composed of mTOR, rictor and Sin1 (25,26). AKT activation mediated through phosphorylation at T308 and/or S473 further leads to regulation of p70S6K through mTORC1 complex. Additionally, mTOR is known to regulate p70S6K. Combined targeting of the PI3K/AKT/mTOR pathway is necessary to prevent the reactivation of AKT through mTORC2. To validate the results obtained from our kinase array, we exposed human PDAC cells (MiaPaCa2, BxPC3 and PANC1) (Fig. 2A) and mouse KPC cells (K8484) (Fig. 2B) to different doses of Uro
A (0-100 μmol/L) and immunoblotted for phospho-AKT and phospho-p70S6K levels. Our results confirmed that Uro A treatment induces a significant dose-dependent reduction in both pAKT and pP70S6K expression in PDAC cell lines (Figs. 2A and B).

Subsequent studies were performed to determine whether AKT was a critical mediator of the antitumor effects of Uro A. BxPC3 cells were treated with known activators of AKT such as SC79 (Fig. 2C, *top panel*) and IGF-1 (Fig. 2C, *bottom panel*), with or without Uro A and blotted for pAKT. Cells treated with SC79 or IGF-1 showed a prominent activation of AKT and Uro A treatment significantly reduced SC79 or IGF-1 induced pAKT levels. To determine whether IGF-1 induced cell proliferation through an AKT-dependent mechanism, we treated control or AKT knockdown BxPC3 cells with IGF-1 (Supplementary Fig. S4A and Fig. 2D). With the addition of IGF-1, BxPC3 control cells significantly increased cell proliferation compared to IGF-1 treatment of AKT knockdown cells. Next, AKT knockdown cells showed a significant reduction in IGF-1 mediated cell proliferation in comparison to its corresponding scrambled control cells treated with IGF-1. These results confirm that IGF-1 induced activation of AKT regulates cell proliferation in PDAC cells. Furthermore, Uro A treatment also downregulated PDK1 (an upstream target of AKT) as well as pGSK3β and p4E-BP1 (downstream targets of AKT), thereby suggesting that Uro A effectively inhibits the PDK1/AKT/mTOR pathway (Supplementary Fig. S4B). Further, treatment of MiaPaCa2 cells with the AKT inhibitor MK2206 or Uro A resulted in an attenuated number of colonies (Fig. 2E). The combined treatment with MK2206 and Uro A did not produce further inhibition when compared to either MK2206 or Uro A single treatments. These results demonstrate that Uro A elicits its anticancer effects on PDAC proliferation that are consistent with AKT inhibition (Supplementary Fig. S4C). Interestingly, Uro A displayed only a mild effect on pAKT.
and pP70S6K expression in normal epithelial cell lines HPNE and HPNE-KRAS (Fig. 2F, Supplementary Fig. S5), thereby suggesting potential for cancer cell selectivity of Uro A.

**Uro A treatment suppresses the growth of pancreatic tumor xenografts in mice**

After characterizing the efficacy of Uro A in PDAC cell lines, we proceeded to test this treatment *in vivo* using a mouse xenograft model (Fig. 3A). PANC1 (Fig. 3B) and MiaPaCa2 (Fig. 3C) xenografts were generated via subcutaneous flank injection of PDAC tumor cells into athymic nude mice. These xenograft-bearing mice were then treated with either Uro A or vehicle (Fig. 3A). Immunoblotting of Uro-A treated MiaPaCa2 xenograft tumor lysates demonstrated significant inhibition of AKT and p70S6K phosphorylation (Fig. 3D). Uro A-treated xenograft mice exhibited significantly reduced tumor growth in comparison to corresponding vehicle-treated xenograft mice (Figs. 3B and 3C). Immunohistochemistry performed on xenograft specimens revealed a significant decrease in proliferation and a significant increase in apoptosis, as measured by Ki67 and cleaved caspase-3, respectively (Fig. 3E). Overall, Uro A treatment was well tolerated by murine hosts and did not have any negative impact on body weight throughout treatment (Supplementary Figs. S6A and S6B).

**Uro A inhibits tumor growth and improves survival in Ptf1a<sup>Cre<sup>+/</sup></sup>;LSL-Kras<sup>G12D/+</sup>;Tgfbr2<sup>flox/flox</sup> (PKT) mice**

To further explore the effect of Uro A treatment on PDAC tumor growth, we utilized the genetically engineered PKT mouse model. These mice develop autochthonous PDAC with full penetrance that reliably recapitulates the clinical and histopathologic features of the human
disease. They consistently develop PanIN lesions at 3.5 weeks of age which progresses to invasive cancer by 4.5 weeks of age. Median overall survival of PKT mice is consistently around 59 days (18,20). Treatment regimens consisting of either Uro A and/or gemcitabine were initiated at 4 weeks of age and mice were followed until moribund for survival (Fig. 4A). Tumor weight was significantly reduced in all treatment groups compared with vehicle-treated mice (Fig. 4B). Both monotherapy and combined Uro A/gemcitabine treatments were well tolerated without appreciable toxicity, as evidenced by normal body weight (Supplementary Fig. S6C). Similarly, all treatment groups had improved survival compared to vehicle-treated mice (median OS = 53 days) (Fig. 4C). However, only Uro A (OS = 71 days) and Uro A + gemcitabine (OS = 71 days) groups attained statistical significance for overall survival by Mantel-Cox log-rank test with Bonferroni adjusted p-value. Furthermore, combined administration of Uro A and gemcitabine did not provide any additional survival advantage over single-agent administration of Uro A, nor did it provide statistically significant advantage of single-agent treatment with gemcitabine (OS = 62 days).

Immunoblot analyses of whole-tumor lysates showed decreased activation of AKT and p70S6K, reduced proliferation, and enhanced cellular apoptosis with Uro A treatment (Figs. 4D and 4E), consistent with the findings seen in vitro and in xenograft tumor models. Taken together, these data show that Uro A is an effective single-agent therapy that reduces tumor burden in vivo through significant reduction in tumor cell proliferation and an increase in apoptosis. Overall, Uro A is more effective than gemcitabine in improving OS.

**Improved therapeutic response to Uro A treatment is associated with a reduction in immunosuppressive tumor- associated macrophages (TAMs) and regulatory T cells (Tregs) in PKT mice**
The immunosuppressive tumor microenvironment (TME) of PDAC is a substantial deterrent to achieving a durable therapeutic response (27). Myeloid-derived suppressor cells (MDSCs), TAMs, and Tregs are the major components of this immunosuppressive milieu (28), as these cell types have been shown to promote systemic T-cell dysfunction that allows PDAC tumors to escape immune detection. Tregs are critically dependent on the transcription factor FoxP3 (29) and TAMs are primarily identified as the F4/80+ population (30). Signaling through the AKT pathway and its corresponding downstream target p70S6K has been shown to play a critical role in regulating this process (31). PKT tumor samples were analyzed for F4/80 and FoxP3 by IHC after treatment with Uro A or vehicle alone. Our results demonstrated that Uro A treatment significantly reduced the detection of tumor infiltrating F4/80 positive TAMs as well as the presence of FoxP3 positive Tregs without decreasing the overall CD3 positive T cell staining (Fig. 5A). Moreover, Uro A treatment significantly reduced the number of CD11b/Gr-1 positive MDSCs (Fig. 5B) in pancreatic tumors from PKT mice compared to vehicle-treated controls. These findings confirmed that Uro A affected the immune cell population within the tumor, which was coincident with reduced PDAC tumor growth and improved survival.

Discussion

The PI3K/AKT family is among the most frequently mutated pathways in human cancer (32). In a retrospective analysis of patients treated across multiple early phase clinical trials, PIK3CA-mutant cancers were shown to have an increased response rate to PI3K/AKT/mTOR pathway inhibitors (33,34). The PI3K signaling pathway is a downstream effector of oncogenic KRAS (35), which is nearly ubiquitous in PDAC. Aberrant activation of the AKT pathway is commonly associated with tumor initiation, disease progression, and the development of chemotherapeutic
A recent study which analyzed all 32 cancer types in The Cancer Genome Atlas (TCGA) has identified several genes (including \textit{Myc} and \textit{KRAS}) which exert a strong pro-tumorigenic effect through PI3K/AKT/mTOR pathway activation in numerous human malignancies, including PDAC (32). TCGA analysis showed that the PI3K/AKT/mTOR pathway is overactivated in \textit{KRAS} mutant cancers, suggesting that targeting this pathway may even be far more effective in PDAC than other non-\textit{KRAS} mutant cancers. Furthermore, aberrant activation of the PI3K/AKT signaling pathway results in a subsequent activation of the downstream effector, p70S6 kinase (p70S6K), which primes the ribosome for protein synthesis (35,36). Once active, AKT regulates cell growth, proliferation and survival by phosphorylating a variety of downstream anti-apoptotic and cell-cycle-related proteins as well as transcription factors (37). Inhibition of the mTORC1 activity loop (i.e., p70S6K at T421/S424) alone is ineffective due to the enhanced activation of the PI3K axis due to loss of mTOR-p70S6K negative feedback (38). Therefore, identifying a drug capable of targeting both mTOR and PI3K is necessary to avoid pathway reactivation (24,32). To this end, dual PI3K/mTOR inhibitors are increasingly being considered for clinical use. Our results demonstrate that Uro A effectively inhibits simultaneous PI3K/AKT and mTOR activation in PDAC cells and prevents pathway re-activation both \textit{in vitro} and \textit{in vivo}. This pathway inhibition effectively reduced tumor growth, proliferation and migration \textit{in vitro} while it significantly improved overall survival in the genetically engineered PKT mouse model of PDAC (35,36) (Fig. 6). These results establish a mechanistic rationale for PI3K/AKT inhibition with Uro A as a potential adjunctive therapy in the treatment of PDAC, for which few effective treatments are currently available.

Uro A is known to mediate its anti-tumor activities through downregulation of Wnt and IGF-1 signaling in colon and prostate cancer cells (39,40). Our findings show that, in PDAC, Uro A
mediates its anti-tumor activities through downregulation of PI3K/AKT/mTOR signaling and its downstream targets such as GSK-3β and 4E-BP1, disrupting both tumor cell proliferation and the recruitment of immunosuppressive cells. We posit that therapeutic targeting of the PI3K pathway with its downstream targets AKT and mTOR at multiple levels, as seen from our studies with Uro A, provides better antitumor effects than selective inhibition of a single component of the pathway. We demonstrated that Uro A administration inhibits PDAC cell proliferation through G1-phase cell cycle suppression and induction of apoptosis in a dose-dependent manner. These results are in accordance with recent studies where it was shown that Uro A exhibited a significant reduction of cells in G0/G1 phase through downregulation of cell cycle genes such as CCNB1 and CCNB1/P1 in Caco-2 cells (8). Furthermore, our results show that Uro A has minimal impact on normal pancreatic epithelial cells such as HPNE and HPNE-KRAS (Fig. 2F), is well-tolerated and exerts its anti-tumor effect at a physiologically appropriate dose. Murine models showed no weight loss or toxic side effects at dose of 20mg/kg, which is within the range of the concentration of plasma Uro A seen after consumption of EA rich foods.

A Phase I clinical trial of Uro A demonstrated that it is well-tolerated with good bioavailability (11). Unlike its dietary precursors, ellagitannins and ellagic acid, Uro A is rapidly absorbed and reaches peak plasma concentration two hours after ingestion (41). These features make it an ideal candidate for adjunctive dietary interventions for patients with PDAC. In addition to examining its effects as a single-therapy regimen, we also tested whether Uro A could enhance the cytotoxic effects of gemcitabine, the FDA-approved mainstay of PDAC treatment. Our results show combined administration of Uro A and gemcitabine did not provide any survival advantage over monotherapy with Uro A alone. These results could be due to plausible reactivation of resistance pathways such as cyclic AMP response element binding protein (CREB) (Supplementary Fig. S3).
In our previous studies, we have shown that CREB is a critical regulator of PDAC progression (42) and future studies based on combination of Uro A and a CREB inhibitor may help in further improving the overall survival for PDAC. Overall, the relative superiority of Uro A to gemcitabine in our preclinical study highlights the need to study Uro A as a chemopreventive agent and pursue Uro A treatment in clinical trials vs. gemcitabine.

PDAC is generally considered an immunologically cold tumor wherein the immunosuppressive milieu (comprised of MDSCs, TAMs and Tregs) limits the activity of chemotherapeutic agents and blunts the host immune response (43,44). These pro-tumorigenic immune cells play a major role in promoting disease progression and/or drug resistance and hence have become a major focus of targeted therapeutics. Our results demonstrated an increase in the accumulation of MDSCs in untreated PDAC tumors, which could be attributed to an excess of pro-inflammatory factors within the tumor microenvironment. Uro A treatment effectively suppressed infiltration of MDSCs as well as Tregs and TAMs, suggesting that Uro A in part limits immunosuppression in PDAC (Fig. 5).

Collectively, our study demonstrated that the natural compound Uro A inhibited the PI3K/AKT/mTOR pathway and induced a strong anti-proliferative and pro-apoptotic effect both in vitro and in vivo. Uro A monotherapy significantly improved survival, providing evidence for its potential as a promising therapeutic approach in PDAC treatment. Given that dual PI3K/mTOR inhibitors are increasingly being considered for clinical use, the findings presented here suggest the potential use of Uro A, a natural, well-tolerated compound which is a potent inhibitor of the PI3K/mTOR pathway, as a novel treatment option in PDAC.
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Figure Legends

**Figure 1.** Uro A treatment attenuates PDAC cell viability and migration and enhances apoptosis.

A. Relative cell viability in MiaPaCa2, PANC1, AsPC1, CFPAC1, Capan2, SW1990 and BxPC3 cells upon treatment with Uro A (0-100 µmol/L) for 48 hours was assessed using MTT assay. B. MiaPaCa2, BXPC3 and PANC1 cells were treated with Uro A (0-50 µmol/L) for 24 hours in a dose-dependent manner, stained with annexin V-FITC and propidium iodide (PI) and analyzed for apoptosis (annexin positive and PI negative) using flow cytometry. C. Wound healing assay to assess the effect of Uro A (0-50 µmol/L) treatment on cell motility of BxPC3, MiaPaCa2 and PANC1 cells 36 hours post-treatment. ***, P < 0.001; ****, P < 0.0001; ns, P > 0.05.***

**Figure 2.** Uro A treatment downregulates PI3K/AKT/P70S6K pathway in PDAC cells. A. Western blots demonstrating dose-dependent phosphorylation of AKT and p70S6K in primary human PDAC cell lines MiaPaCa2, PANC1 and BxPC3 upon Uro A (0-100 µmol/L) treatment for 3 hours. B. Cell line (K8484) established from KPC mouse was treated with Uro A (0-100 µmol/L) for 3 hours. Western blot demonstrating dose-dependent decrease in the levels of phosphorylation of AKT and p70S6K in K8484 cell line upon Uro A treatment. Tubulin was used as Western blots loading control. C. BxPC3 cells were treated with SC79 (10 µmol/L) (*top panel*) or IGF-1 (50 ng/ml) (*lower panel*) for 3 hours activated AKT. The densitometry analyses of pAKT was normalized to total AKT protein was shown. Cells treated with Uro A (25 µmol/L) show a significant reduction in the pAKT levels when compared to SC79 or IGF-1 alone treated cells. D. IGF-1 (50 ng/ml) exposure to AKT knockdown BxPC3 cells shows a significant reduction in the
cell proliferation when compared to scrambled control cells treated with IGF-1 alone. **E.** MiaPaCa2 cells treated with either MK2206 or Uro A showed decreased number of colonies. Combination of MK2206 and Uro A did not affect further the number of colonies when compared to either MK2206 or Uro A treatment. **F.** Western blots demonstrating dose-dependent phosphorylation of AKT and p70S6K in primary human normal pancreas cell lines HPNE and HPNE-KRAS upon Uro A (0-100 µmol/L) treatment for 3 hours. *, P < 0.05; ****, P < 0.0001.

**Figure 3.** Uro A treatment decreases the growth of pancreatic tumor xenograft. **A.** Experimental design for Uro A treatment in PANC1 and MiaPaCa2 xenograft mouse model. **B** and **C.** PANC1 (**B**) and MiaPaCa2 (**C**) cells were subcutaneously injected onto the flank of Fox1-nu/nu mice until tumor volume reached 200-250 mm³. The xenograft tumors were further treated with Uro A (20 mg/kg/daily) or vehicle (10% glucose in water) by oral administration for 42 (**B**) or 33 (**C**) days, and their respective volumes were measured daily (n=5). Both PANC1 and MiaPaCa2 xenografts exhibited significantly reduced tumor growth with Uro A treatment in comparison to corresponding vehicle-treated xenografts. By repeated measures t-test, PANC1 achieved significance at 22 days of treatment and MiaPaCa2 at 24 days. Growth trajectory was significantly different by linear regression (p < 0.0001 for both cell lines), and treatment was statistically significant by 2-way ANOVA for PANC1 (p = 0.0002) and MiaPaCa2 (p = 0.0024). **D.** Western blot demonstrating levels of pAKT and p70S6K in corresponding resected vehicle and Uro A-treated MiaPaCa2 xenograft tissues harvested 33 days post-treatment. **E.** Representative Ki67 and cleaved caspase-3 staining of vehicle or Uro A-treated MiaPaCa2 flank xenograft tissues (**left panel**). Quantification of Ki67 and cleaved caspase-3 staining data obtained from resected vehicle
or Uro A-treated MiaPaCa2 flank xenograft tissues (right panel). * $P < 0.05$, ** $P < 0.01$, *** $P < 0.001$, **** $P < 0.0001$.

**Figure 4.** Uro A treatment improves survival of PKT mice. A. Experimental design for Uro A (20 mg/kg/daily), gemcitabine (Gem; 20mg/kg/3 day) or Uro A+Gem treatment in PKT mice starting at 4 weeks of age (n=8 per group). B. Tumor weight in the Uro A or Uro A+Gem-treated mice was significantly decreased compared with vehicle-treated controls. C. Kaplan-Meier survival analysis shows significantly improved overall survival with Uro A (median 71 days) or Uro A+Gem (median 71 days) compared with vehicle control (median 53 days). Log-rank test was used to compare groups, with Bonferroni correction applied to pairwise comparisons to account for multiple comparisons. D. Western blot analysis of whole tumor lysates demonstrated decreased expression of pAKT and p70S6K in mice treated with Uro A compared to vehicle-treated mice. E. Representative proliferation (Ki67 staining) and apoptosis (cleaved caspase-3) staining of resected pancreata obtained from Uro A-treated PKT mice (left panel). Proliferation was significantly decreased and apoptosis was significantly increased with Uro A treatment when compared to vehicle-treated mice (right panel). *, $P < 0.05$; ***, $P < 0.001$; ns, $P > 0.05$.

**Figure 5.** Effects of Uro A on TAMs, Tregs and MDSCs in PKT mice. A. Representative H&E, F4/80, FoxP3 and CD3 staining of pancreatic tissues harvested from Uro A-treated PKT mice (left panel). Scale bar: 50µm. Quantification of relative levels of F4/80, FoxP3 and CD3 immunohistochemical staining of Uro A and vehicle-treated PKT mice pancreata (right panel) (n=3). B. Representative flow cytometry zebra plots (left panel) and their corresponding bar graphs
(right panel) of MDSCs in the single suspensions of vehicle or Uro A-treated PKT mice pancreata (n=3). *, \( P < 0.05 \); **, \( P < 0.01 \)

**Figure 6.** Biological schematic demonstrating that Uro A intercedes its antitumor effects by targeting PI3K/AKT/mTOR kinase pathways. Additionally, Uro A acts on macrophages, Tregs and MDSCs and thus inhibits tumor growth which enhances survival.
**Figure 1**

**A**

Cell viability (% of control) as a function of Uro A concentration (μmol/L) for different cell lines: MiaPaCa2, PANC1, AsPC1, CFPAC1, Capan2, SW1990, and BxPC3.

**B**

Apoptosis (% parent) at different concentrations of Uro A (μmol/L) for MiaPaCa2, BxPC3, and PANC1.

**C**

Phase contrast images of BxPC3, MiaPaCa2, and PANC1 treated with different concentrations of Uro A (0, 5, 25, 50 μmol/L).
Figure 2
Figure 3

A: Growth and Treatment Schedule

- MiaPaCa2 or PANC1 xenograft (~200-250 mm³)
- Tumor volume evaluation
- Harvest

B: Tumor Volume (mm³) over Days Post-Treatment

- PANC1
- Vehicle vs. Uro A
- Graph shows a significant difference ($p = 0.004$)

C: MiaPaCa2 Tumor Volume (mm³) over Days Post-Treatment

- Vehicle vs. Uro A
- Graph shows a significant difference ($p = 0.008$)

D: Western Blot Analysis

- pAKT (T308)
- tAKT
- p70S6K (T421/S424)
- t70S6K
- Tubulin

E: Immunohistochemistry

- Ki67
- Casp3

- Relative Ki67 and Casp3 levels for Vehicle and Uro A
- * indicates significant difference

Figure 3
Figure 4
Figure 5
Figure 6
Urolithin A, a novel natural compound to target PI3K/AKT/mTOR pathway in pancreatic cancer

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