

## **Non-invasive detection of ctDNA reveals intratumour heterogeneity and is associated with tumour burden in gastrointestinal stromal tumour**

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## Abstract

Molecular analysis of circulating tumour DNA (ctDNA) has a large potential for clinical application by capturing tumour-specific aberrations through non-invasive sampling. In gastrointestinal stromal tumour (GIST), analysis of *KIT* and *PDGFRA* mutations is important for therapeutic decisions, but the invasiveness of traditional biopsies limits the possibilities for repeated sampling. Using targeted next-generation sequencing, we have analysed circulating cell-free DNA from 50 GIST patients. Tumour-specific mutations were detected in 16 of 44 plasma samples (36%) from treatment-naïve patients and in 3 of 6 (50%) patients treated with tyrosine kinase inhibitors. A significant association between detection of ctDNA and the modified National Institutes of Health risk classification was found. All patients with metastatic disease had detectable ctDNA, and tumour burden was the most important detection determinant. Median tumour size was 13.4 cm for patients with detectable mutation in plasma compared to 4.4 cm in patients without detectable mutation ( $p=0.006$ ). ctDNA analysis of a patient with disease progression on imatinib revealed that multiple resistance mutations were synchronously present, and detailed analysis of tumour tissue showed that these were spatially distributed in the primary tumour. Plasma samples taken throughout the course of treatment demonstrated that clonal evolution can be monitored over time. In conclusion, we have shown that detection of GIST-specific mutations in plasma is particularly feasible for patients with high tumour burden. In such cases, we have demonstrated that mutational analysis by use of liquid biopsies can capture the molecular heterogeneity of the whole tumour, and may guide treatment decisions during progression.

## Introduction

Gastrointestinal stromal tumour (GIST) is the most frequent mesenchymal tumour in the gastrointestinal tract. The key molecular drivers of GIST are mutations in *KIT* (80%) or *PDGFRA* (15%) (1), and the majority of GISTs with such mutations are sensitive to tyrosine kinase inhibitors (TKIs) (2). Imatinib is the preferred first-line treatment for most patients with inoperable or metastatic disease (3). The likelihood of tumour response depends on the mutational profile, with exon 11 mutated *KIT* being the most sensitive to imatinib. The majority of patients with metastatic GIST eventually develop imatinib resistance, most often as a result of secondary mutations in the same allele as the original mutation (4). The currently approved second and third line treatments are sunitinib and regorafenib, respectively. Their anti-tumour effects are associated with the type of *KIT* or *PDGFRA* resistance mutation present (5, 6). Still, due to considerable intra- and inter-tumour heterogeneity, the clinical utility of identifying resistance mutations in biopsies from metastatic lesions is limited (7).

Small amounts of fragmented circulating cell-free DNA (cfDNA) of tumour origin (ctDNA) are continuously released to the blood stream. The main release process is through apoptosis, with additional contribution from necrosis or active secretion (8, 9). Several studies have demonstrated a high concordance between mutational profiles of candidate genes in matched tumour and plasma DNA samples (10-12). Detection of ctDNA in other cancers has been correlated with clinical and pathological risk factors like tumour volume, lymph node involvement and necrosis, associating detection of ctDNA with a more aggressive disease (13).

GIST has a well-known spectrum of actionable kinase mutations giving a strong relationship with tumour response to TKIs. This, combined with the need for analyses that reflect the total mutational landscape in each patient at each time point, provides a strong

rationale for obtaining tumour material for molecular analyses through non-invasive liquid biopsies. Such blood plasma biopsies have a potential application in diagnosis, prognosis, monitoring of disease progression and evolution and prediction of therapy response (13). Only a few studies of ctDNA in GIST have been reported; mutant ctDNA has been detected in plasma from GIST patients, and the amount was correlated with the disease course (14) and tumour size (15). Secondary *KIT* mutations that confer treatment resistance have also been identified from liquid biopsies (16).

In this study, targeted next-generation sequencing (NGS) was performed to detect *KIT* and *PDGFRA* mutations in ctDNA from GIST patients with high sensitivity. Detection of ctDNA was significantly associated with high tumour burden, and clinical utility was demonstrated by capturing of molecular tumour heterogeneity and disease monitoring by liquid biopsies.

## **Material and methods**

### **Patient cohort**

From October 2014, all patients diagnosed with GIST at Oslo University Hospital have been included in the NoSarC study, in which blood, plasma, serum and fresh frozen tumour tissue are collected from all patients diagnosed with sarcoma in Norway. For the present study, we selected patients with GIST included between October 2014 and September 2016.

The study was approved by the Regional Ethics Committee of South East Norway (#S-06133a), and written informed consent was obtained from all patients. The study was performed in accordance with the Declaration of Helsinki.

Risk classification was performed according to the modified National Institute of Health (NIH) criteria (17). Tumour size was measured on the surgical specimen, except for patients who received preoperative imatinib in whom CT scan before treatment start was used. Mitoses were counted in all specimens, except biopsies and specimens subjected to neoadjuvant therapy. Mutation analysis in routine practice was performed as previously described (18).

### **Extraction and quantification of DNA**

Blood was collected in Cell-Free DNA BCT tubes (Streck, Omaha, NE, US), and plasma and normal white blood cells were processed as previously described (19) and stored at -80 °C. cfDNA, representing both normal and tumour DNA, was isolated from 2-4 ml (average 2.2 ml) plasma using QIAamp Circulating Nucleic Acid Kit (Qiagen, Hilden, Germany) and stored at -20 °C. Normal genomic DNA from white blood cells was isolated using QIAamp DNA Mlood Mini kit (Qiagen).

Tumour material was fresh frozen at -80 °C immediately after surgery. Two tissue sections were stained with hematoxylin and eosin, and the presence of representative tumour

material (>60% tumour cells) was verified by a sarcoma pathologist. Genomic DNA was isolated using the Allprep DNA/RNA kit (Qiagen) and the truXTRAC FFPE DNA Kit (Covaris Inc., Woburn, MA, USA) from fresh frozen tumour and formalin-fixed paraffin-embedded (FFPE) tissue (20), respectively.

Genomic DNA was quality controlled using Nanodrop 2000 (Thermo Fisher Scientific, Waltham, Massachusetts, US) and genomic DNA and cfDNA were quantified using a Qubit fluorometer from Invitrogen (Thermo Fisher Scientific).

### **Library construction and sequencing using Archer targeted panel**

Sequencing libraries were made from 15 ng of cfDNA for screening of the GIST cohort and 40 ng cfDNA for the case patient. The libraries were made following the Archer Reveal ctDNA 28 Kit for Illumina (ArcherDX Inc., Boulder, Colorado, US), providing targeted exon sequencing of 28 cancer related genes. For the case study, sequencing libraries were additionally made from 100 ng of DNA from FFPE and 50 ng from fresh frozen tumour and normal gDNA using the Archer VariantPlex Assay system (ArcherDX, Inc.). For the case study and some of the GIST cfDNA samples in the screening, the Archer Reveal ctDNA gene enrichment panel was customized to include exon 14 of *KIT*. The libraries were pooled and paired-end sequenced (2 x 150 bp) with 20% PhiX using Illumina's sequencing by synthesis technology (SBS) on a NextSeq500 instrument (Illumina Inc., San Diego, California, US).

### **Bioinformatics analysis of cfDNA data from Archer targeted panel**

The sequencing data was processed using Archer Analysis (v5.1) pipeline. Each read associated with a unique Molecular Barcode (MBC) was used to create a single consensus read, using the base call quality scores from primary analysis. The germline and somatic mutation detection pipeline mapped reads to the hg19 (GRCh37) reference genome using

Bowtie 2 (21) and post-processed the alignments. Variant calling was performed using FreeBayes (22) and LoFreq (23).

The default ctDNA with outlier filter in Archer Analysis was applied to the cfDNA data, keeping variants with alternate observations (AO)  $\geq 5$ , unique alternate observations (UAO)  $\geq 3$ , Exome Aggregation Consortium (ExAC) global population allele frequency (AF)  $\leq 0.05$ , variants that have a consequence, variants called by Vision variant caller and with an AF outlier p-value  $\leq 0.01$ . The AF outlier p-value describes the probability of this mutation to be background noise, as estimated across all samples processed in the same analysis run.

Manual inspection of BAM files was performed to identify mutations in *KIT* or *PDGFRA* that were known from the tumour, but not retained in the analysis of cfDNA. Patients with *KIT* duplications were not included in the study since preliminary data showed difficulties in the detection of these types of aberrations. Analysis of ctDNA data was conducted in a blinded fashion with respect to the clinical information of individual patients.

### **Library construction and sequencing of cfDNA using Swift targeted panel**

The findings from the case study were verified using The Accel-Amplicon 56G Oncology Panel from Swift Biosciences (Swift Biosciences, Ann Arbor, MI, USA). In this panel, 56 cancer genes were represented, including *KIT* and *PDGFRA*. Fifteen nanogram of cfDNA was used as input, and multiplex PCR and indexing were done to generate dual indexed libraries. The libraries were pooled with 2% PhiX and sequenced pair-end (2 x 150 bp) using SBS chemistry on a MiSeq v3 instrument (Illumina Inc.). Reads preprocessing with adapter trimming, mapping, alignment, quality assessment and variant calling were performed using MiSeq Reporter. Manual inspection of BAM files was performed, identifying additional somatic mutations in *KIT*.

### **Targeted exome sequencing of DNA**

Sequencing libraries were prepared from 1 µg DNA from the GIST tumour and normal samples following the SureSelectXT All Exon V5 protocol (Agilent Technologies, Santa Clara, CA, USA). The libraries were sequenced paired-end (2 x 100 bp) on a HiSeq2500 (Illumina, Inc.) using TruSeq SBS v3 chemistry. Real-time analysis and base calling were conducted by Illumina's software packages HSC2.0.2/RTA1.17.21.3. Raw reads were processed using the Illumina CASAVA (v. 1.8.2) to demultiplex data and filter out the low-quality reads. The mapping, alignment, quality assessment and somatic variant calling were performed as previously described (19).

Due to Norwegian legal regulations, we are not able to deposit our dataset in a public repository. We will provide access to the data upon request.

### **Statistical analysis**

Relationships between ctDNA detection and the categorical variables gender, primary tumour localization and NIH risk classification were analysed using Pearson's chi-square test. Differences in age, tumour size and mitotic count between patients with and without detectable ctDNA were analysed using independent samples Mann-Whitney U test. Data analysis was performed using SPSS version 21.0 (SPSS Inc., Chicago, MO). P-values < 0.05 were considered statistically significant.

## Results

### Patient characteristics

From October 2014 to September 2016, 50 patients diagnosed with localized or metastatic GIST were included in the study. These included 44 newly diagnosed patients, where blood samples were collected before treatment. In addition, we analysed samples from six patients undergoing TKI treatment at the time of plasma sampling. Routine molecular diagnostics were performed on all 50 tumours; a *KIT* mutation was detected in 41 of the tumours, and the remaining nine tumours had a *PDGFRA* mutation (Supplementary Table 1).

Demographical, clinical and histopathological characteristics for the 44 treatment naïve patients are summarized in *Table 1*. These included 25 males (57%) and 19 females (43%), with a median age of 65 years (range 33-93). Thirty-four patients (77%) had localized disease and 10 patients (23%) had metastatic disease at time of inclusion.

### Molecular profiling of treatment-naïve GIST using cfDNA

Blood samples of the 44 treatment naïve patients were drawn before surgery or start of systemic treatment. As all primary tumours had *KIT* (n=35) or *PDGFRA* (n=9) somatic mutations (Table 1), targeted exon panel sequencing was done to identify these mutations in the patients' plasma. Somatic mutations in *KIT* or *PDGFRA* in cfDNA, representing detected ctDNA, were found in 16 of 44 (36%) of the plasma samples (Figure 1, Supplementary Table 1). Eleven of the mutations were identified directly using the Archer Analysis pipeline on the NGS data, and the remaining five mutations were identified by manual inspection of the BAM files.

A difference in the detection rate was seen dependent on type of mutation. Among the 21 patients having tumours with *KIT* indels, mutations were detected in 57% of the plasma samples. The median mutated allele frequency (AF) in cfDNA was 4.6% (range 0.07-48.1%),

corresponding to a median of 115 mutated genomes per ml of plasma (range 1-5,762). However, among the 14 patients having tumours with *KIT* single nucleotide variants (SNV), mutations were only detected in 21% of the plasma samples with a median AF of 1.4% (range 1.1-1.5 %) corresponding to a median of 75 mutated genomes per ml of plasma (range 53-77). For patients with a *PDGFRA* mutation, deletions were only detected in one of three plasma samples, while none of the six SNVs were detected in plasma. Furthermore, the highest fractions of ctDNA in cfDNA were mainly observed for patients having indels in exon 11 of *KIT* (Figure 1, Supplementary Table 1). Except for one patient with a *TP53* mutation (Supplementary Table 1), no mutations in the other 26 genes of the Archer panel were detected in the plasma samples.

### **Detection of ctDNA is associated with clinical characteristics**

Routine classification of GIST in Norway is performed using the modified NIH risk classification, which takes in to account tumour size and mitotic count to stratify patients. A significant association between the NIH risk classification and detection of mutations was found. The somatic mutations were significantly more frequently detected in plasma from patients with higher risk classification or metastatic disease ( $p < 0.001$ ; Figure 2A). All 10 patients with metastatic disease had detectable mutated DNA in plasma, compared to 5 of 15 patients classified as high risk, 1 of 7 with intermediate risk and 0 of 12 with low risk GIST (Figure 1). For patients with localized disease without detectable ctDNA, the median tumour size was 4.4 cm (range 2.5-16.6), compared to 13.4 cm (range 5.1-20.0) for patients with a detected mutation ( $p = 0.006$ ; Figure 2B). Among the 44 treatment naïve patients, mitotic count was only available for 2 of the 6 patients with detectable ctDNA and localized disease. The remaining tumours had received preoperative imatinib treatment, and counting of mitoses was

thus not possible. No significant association with age, gender or primary tumour localization was found.

### **ctDNA detection in TKI treated patients**

Six patients who received TKI at the time of blood sampling were included as a second cohort. All patients had *KIT* mutations present in the tumour at time of diagnosis. For three of these patients, *KIT* mutations were also detected in plasma (Supplementary Table 1). One patient underwent surgery for a rapidly progressing peritoneal metastasis after three years of imatinib treatment, and both the primary *KIT* exon 11 mutation and a secondary exon 13 mutation were detected both in plasma and in the surgical specimen. The second patient had detectable ctDNA after 9 months of imatinib treatment for a locally advanced gastric GIST with radiological response, and 9 months later developed metastatic disease. For the third patient, both the primary and several secondary *KIT* mutations were detected upon disease progression after 8 months treatment with imatinib (see case below). In the remaining three patients, ctDNA was not detectable; the first patient had locally advanced gastric GIST and had received imatinib for one month with a good radiological response, the second patient had received imatinib for 13 years for metastatic GIST and underwent surgery for a 2.8 cm solitary peritoneal metastasis, and the third patient had received sunitinib for 45 months and was operated for a symptomatic small intestinal primary tumour. Thus, detection of ctDNA in patients undergoing TKI treatment can be related to the disease development.

### **ctDNA reveals intratumour heterogeneity**

One of the patients included in the TKI treated cohort above was subject to a more comprehensive study. A 47-year-old male was referred to the Norwegian Radium hospital with a locally advanced 20 x 11 x 11 cm large gastric tumour, and percutaneous biopsy

revealed a GIST with a *KIT* exon 11 p.W557\_K558del mutation. He was considered primary inoperable and imatinib was started. After an initial treatment response, a CT scan 8 months after imatinib initiation showed progressive disease (Supplementary Figure 1). No metastases were detected. A partial gastrectomy was performed. Routine mutational analysis and deep exome sequencing of the resected tumour identified the primary p.W557\_K558del mutation, and a secondary resistance mutation in *KIT* exon 14 (p.T670I) (Supplementary Table 1 and 2).

At the time of surgery, both the primary p.W557\_K558del mutation as well as the *KIT* exon 14 p.T670I mutation were identified in plasma using the Archer Reveal ctDNA panel. Notably, we also found three additional resistance mutations in exon 17 and 18, p.D816H, p.Y823D and p.A829P, not previously identified in the surgical specimen (Figure 3B and Supplementary Table 1 and 2). All mutations detected in plasma were confirmed using the Accel-Amplicon 56G Oncology Panel from Swift Biosciences (Supplementary Table 2).

To validate the novel mutations identified in plasma, a comprehensive analysis of the tumour was performed. DNA extracted from the treatment naïve biopsy and from a surgical specimen was sequenced using the Archer Reveal ctDNA panel, and the surgical sample was also deep exome sequenced. No other *KIT* mutations than p.W557\_K558del and p.T670I were found in either of these samples (Supplementary Table 2). During routine pathological examination of the surgical specimen, 10 spatially separated samples from morphologically distinct parts of the tumour had been collected and formalin-fixed (Supplementary Figure 2). Sequencing using the Archer Reveal ctDNA panel identified the primary *KIT* p.W557\_K558del mutation in all 10 specimens, and the p.T670I resistance mutation in six samples. Two of the three other resistance mutations identified in plasma were also found in distinct regions of the tumour (p.D816H and p.A829P), whereas the p.Y823D mutation was not detected in the tumour tissue examined. Two tumour samples contained two different resistance mutations, and in two samples no resistance mutations were detected (Figure 3A

and Supplementary Table 3). A histological evaluation was done on the FFPE tumour, grouping the sections into four categories based on the tumours relation to normal tissues; mucosa in stomach, serosa and fatty tissue. No association between the location of the tumour sections and the mutational pattern could be seen (Supplementary Figure 2).

### **Monitoring clonal evolution throughout the treatment course using ctDNA**

As a continuation of the patient story, repeated plasma samples from the case patient were collected during the subsequent treatment. Imatinib was continued after surgery, and three months postoperatively disseminated peritoneal metastases were evident (Supplementary Figure 1). At this time point, four of the five *KIT* mutations present in plasma at time of surgery were detected, and also the p.D816Y mutation identified in the tumour tissue but not in the initial plasma sample (Figure 3B and Supplementary Table 2). Treatment with sunitinib was initiated. During treatment, the p.T670I mutation became undetectable and the p.D816H and p.D816Y mutations were significantly reduced, whereas the allele frequencies of the p.W557\_K558del and p.A829P mutations increased (Figure 3B and Supplementary Table 2). However, the peritoneal metastases rapidly progressed and the patient developed ascites fluid (Supplementary Figure 1), leading to a switch to regorafenib after only two weeks of sunitinib therapy. After three weeks of regorafenib treatment the patient's clinical condition was substantially better, and the only mutations detectable in plasma were p.W557\_K558del and p.A829P. Seven weeks after commencement of regorafenib, the patient succumbed to progressive GIST.

## Discussion

Acquisition of tumour material through liquid biopsies is currently being implemented in routine diagnostics and has a great potential for clinical utility in precision medicine. Using a targeted NGS panel specifically designed for analysis of ctDNA, we have demonstrated that detection of GIST disease-causing mutations in plasma is significantly associated with the classification of tumours into risk groups. ctDNA was detected in all patients with metastatic disease. In localized GIST, mutations were only found in plasma from patients with large tumours. Thus, the greatest potential use of liquid biopsies seems to be for the more advanced cases where the clinical benefit of mutational monitoring may be most readily seen.

Two previous studies in GIST have also shown higher levels of ctDNA in larger tumours (14, 15). Maier and co-workers found that patients with measurable disease more often had detectable ctDNA, but a blood sample prior to start of treatment was only available for 9 of the 38 included patients (14). In a more recent study, the presence of mutant DNA in plasma was associated with a large tumour size, but not with mitotic count in patients with localized disease (15). Risk classification using Armed Forces Institute of Pathology (AFIP) criteria was, however, not associated with detectable ctDNA, but this analysis is limited by the fact that the vast majority of patients were classified as high risk. The lack of significant associations with mitotic count is also supported by our study. We were unable to detect ctDNA in two patients with tumours <5 cm and a high number of mitoses (19 and 24 per 50 HPF), suggesting that a high mitotic count itself is not associated with release of tumour DNA to the circulation. Our study, using a larger, more diverse cohort and a broader tumour size distribution than previous studies, clearly shows that ctDNA can be reliably detected in blood from GIST patients with a large tumour burden.

Patients with *KIT* exon 11 indels more often had detectable ctDNA than patients with other mutations. The reason for this observation might be that tumours with exon 11 indels,

and in particular deletions involving codon 557 and 558, are larger and have a more malignant behaviour (24-27). In our cohort, tumours with *KIT* exon 11 indels had a median tumour size of 10.9 cm compared to 5.1 for other tumours, and 16 of 20 patients with this genotype were classified as high risk or metastatic. Supporting this notion, the proportion of patients with detectable ctDNA is higher in patients with advanced disease for most tumour types (28).

Technological progress has brought about a number of highly sensitive methods for detection of ctDNA, such as ARMS (amplification refractory mutation system) (29), BEAMing (beads, emulsion, amplification, and magnetics) (30), digital droplet PCR (ddPCR) (31) and whole genome NGS (32), reviewed in (33). The use of small targeted NGS panels, covering a broad range of recurrent somatic variants, and the introduction of unique molecular barcodes with sophisticated bioinformatics analysis has facilitated the increased sensitivity required to analyse cfDNA. Liquid biopsies can be an excellent complementary source for genomic analysis, and with the input amounts of DNA and technique used in this project ctDNA can be readily detected in aggressive or larger tumours, but may not meet the sensitivity level required for monitoring minimal residual disease. In general, cancer patients show a high variability of cfDNA levels with fractions of ctDNA ranging from <1% to >90% dependent on tumour burden, stage, vascularity, cellular turnover and response to therapy (reviewed in (34)). Thus, the sensitivity of the methods must be taken into account in study design, and robustness has to be evaluated in larger prospective studies.

The heterogeneity of resistance mutations between and within metastases is one of the main treatment challenges in patients with TKI-resistant GIST. The resistance mutations present in the patient tumour of the case study were diverse and were spatially distributed in the tumour. Our data demonstrate that the ctDNA is shed from multiple tumour subclones, and ctDNA can give improved representation of the intratumour heterogeneity and actionable alterations. Such substantial intratumour heterogeneity, as observed in this study, has not been

previously described in GIST. It has been shown that imatinib-resistant disease frequently harbours up to two resistance mutations within a single tumour or metastasis, or up to five mutations in separate metastases from one patient (35-37), but not synchronously to such an extent within one primary tumour. Although it is not expected that all patients carry the same degree of heterogeneity, most, if not all, TKI-resistant GISTs display a mutational heterogeneity that may be better captured by liquid biopsies than conventional analysis of tumour tissue.

The main therapeutic strategy in imatinib-resistant GIST during the past decade has been the introduction of multikinase inhibitors that target a broader spectrum of KIT resistance mutations. The resistance mutations detected after imatinib treatment clustered in two regions of the kinase domain: the adenosine triphosphate (ATP)-binding pocket (encoded by exons 13 and 14) and the activation loop (encoded by exons 17 and 18), known to be essential for drug binding and kinase activation, respectively (38). During sunitinib treatment, we observed a reduction of ctDNA containing ATP binding domain mutations, whereas activation loop mutations seemed resistant to sunitinib. This is in line with previous clinical studies (39) and cell-based assays (40-42). Data from a phase II trial suggests that regorafenib, contrary to sunitinib, also shows activity against mutations in the activation loop (43). During regorafenib treatment, the level of ctDNA decreased dramatically concurrently with clinical improvement. However, no radiological response evaluation was performed, and the patient succumbed to the disease only four weeks later.

Our results suggest at least two potential applications that should be further investigated in GIST; monitoring of resistance mutations in imatinib-resistant disease and primary mutation analysis in cases with tumour tissue unsuitable for molecular analysis. In the latter situation, a biopsy of a locally advanced tumour planned for preoperative imatinib might return too little tumour tissue or DNA of too poor quality, but still contain sufficient

material for diagnostic mutation detection. In the former scenario, the choice of systemic treatment after imatinib progression could be determined by the spectrum of resistance mutations in plasma. Liquid biopsies would be particularly useful to aid treatment choices of heterogeneous lesions. As an example, a patient with exclusively activation loop mutations, known to be less sensitive to sunitinib (39), could potentially benefit more from other TKIs like regorafenib. Even though the potential clinical utility is great, more comprehensive studies than those so far conducted are necessary before plasma mutation analysis of GIST can be implemented in a routine clinical setting. Several other cancer types, like lung cancer and colon, present recurrent mutations that could potentially be treated with a targeted approach. Monitoring of these patients using cfDNA is also highly relevant and feasible (10, 44, 45).

In conclusion, we have shown that liquid biopsies can be used as a source for mutational analysis of GISTs. A significant relationship was seen between detection of ctDNA and tumour risk classification, where tumour burden was found to be the most important determinant for ctDNA detection. We also demonstrated that liquid biopsies better capture intratumour heterogeneity than conventional biopsies, and can be used to monitor clonal evolution throughout the treatment course of a GIST patient. Thus, our work strongly supports the rationale of using liquid biopsies as a source to obtain comprehensive mutational profiles of these heterogeneous tumours. Future studies should address the clinical utility of ctDNA in therapeutic decisions in imatinib-resistant metastatic GIST.

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## Tables

**Table 1. Demographical, clinical and histopathological characteristics of the 44 treatment-naïve patients**

|   |                |
|---|----------------|
| Age at inclusion                          |                |
| Median (range)                            | 65 (33-93)     |
| Gender                                    |                |
| Female                                    | 19 (43)        |
| Male                                      | 25 (57)        |
| Primary tumour localization               |                |
| Stomach                                   | 36 (82)        |
| Small intestine                           | 6 (14)         |
| Oesophagus                                | 2 (5)          |
| Median tumour size (range) <sup>a</sup>   | 5.1 (2.5-20.0) |
| Median mitotic count (range) <sup>a</sup> | 3 (0-24)       |
| Modified NIH risk classification          |                |
| Low risk                                  | 12 (27)        |
| Intermediate risk                         | 7 (16)         |
| High risk                                 | 15 (34)        |
| Metastatic                                | 10 (23)        |
| Mutation analysis                         |                |
| <i>KIT</i> exon 11                        | 33 (75)        |
| <i>KIT</i> exon 13                        | 1 (2)          |
| <i>KIT</i> exon 17                        | 1 (2)          |
| <i>PDGFRA</i> exon 12                     | 1 (2)          |
| <i>PDGFRA</i> exon 18                     | 8 (18)         |

Number of patients and percentage (in parentheses) is shown unless otherwise indicated.

<sup>a</sup>Data from patients with localized disease only. NIH = National Institute of Health.

## Figure legends

**Figure 1. Association between detection of mutated alleles in ctDNA, tumour size, NIH risk criteria and mutation type in treatment-naïve GIST.** The 44 newly diagnosed, treatment naïve patients were sorted based on mutated *KIT* or *PDGFRA* allele frequency for those with detected ctDNA in plasma (ctDNA positive), and sorted on tumour size for the remaining patients (ctDNA negative). The status for the variables tumour size, NIH risk classification and mutation type are given below the graph.

**Figure 2. Associations between ctDNA detection and tumour characteristics. A.** Detection of somatic mutations (ctDNA positive or negative) in plasma across NIH risk classification categories, analysed using Pearson's chi-square test ( $p < 0.001$ ). **B.** Boxplots showing tumour size in patients with or without detection of somatic mutations in plasma (ctDNA positive or negative). Boxes indicate the median, the 25<sup>th</sup> and 75<sup>th</sup> percentile, and whiskers represent maximum and minimum values. Outliers are censored. Analyzed using independent samples Mann-Whitney U test ( $p = 0.006$ ).

**Figure 3. Intratumour heterogeneity and clonal evolution of the tumour of a GIST patient. A.** *KIT* mutations identified in FFPE sections from different parts of the imatinib treated tumour. Each bar represents data from one FFPE tumour specimen with the relative frequency of the indicated *KIT* primary and secondary mutations, based on Archer Reveal ctDNA sequencing. **B.** Evolution of tumour mutations during disease course of the patient. Shown allele frequencies of mutated *KIT* in fresh frozen biopsy at time of diagnosis, tumour at the time of surgery (average of the 10 FFPE specimens in A) and plasma before surgery at time of progressive disease (PD). Plasma samples was further collected at time of treatment change (to sunitinib or regorafenib). The amount (allele frequency) of each of the mutations is

represented by the height of each curve, and then stacked on top of each other. The different mutations are identical colour coded in A and B.



Figure 2

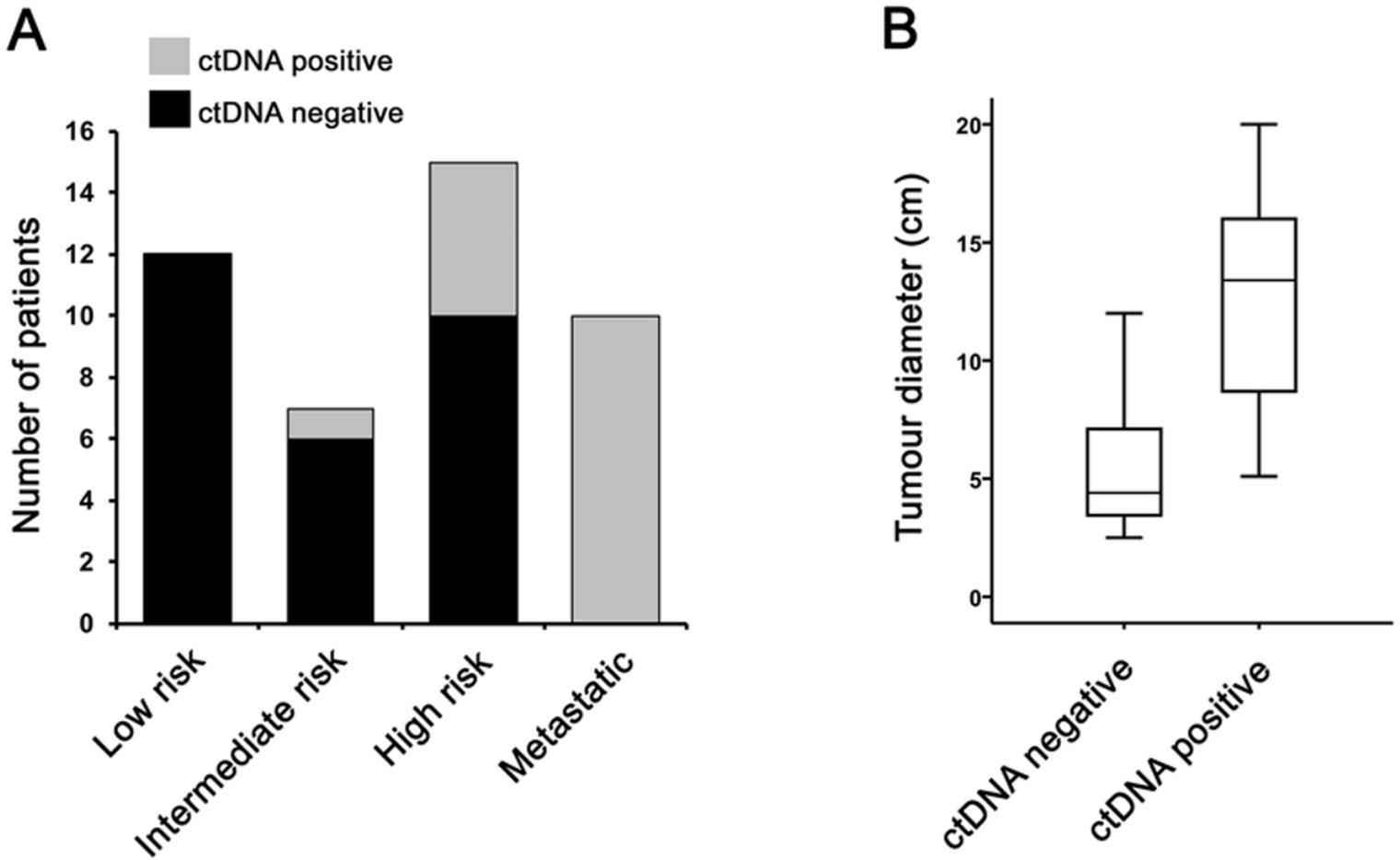
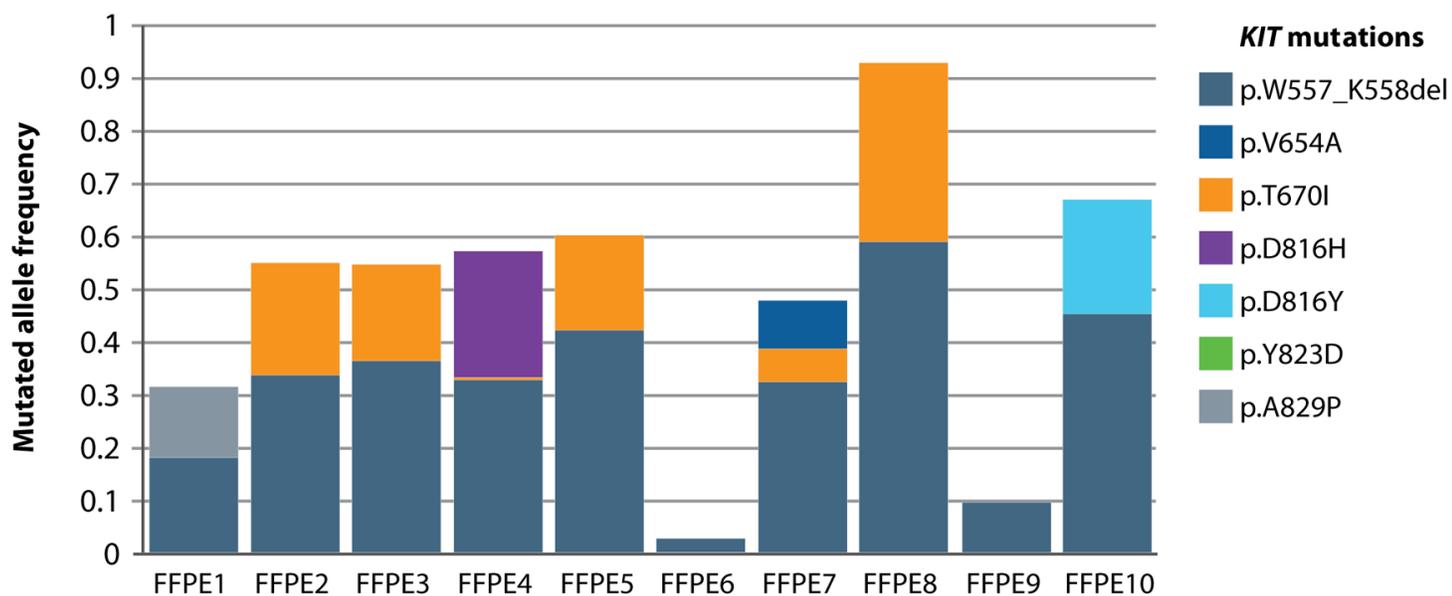
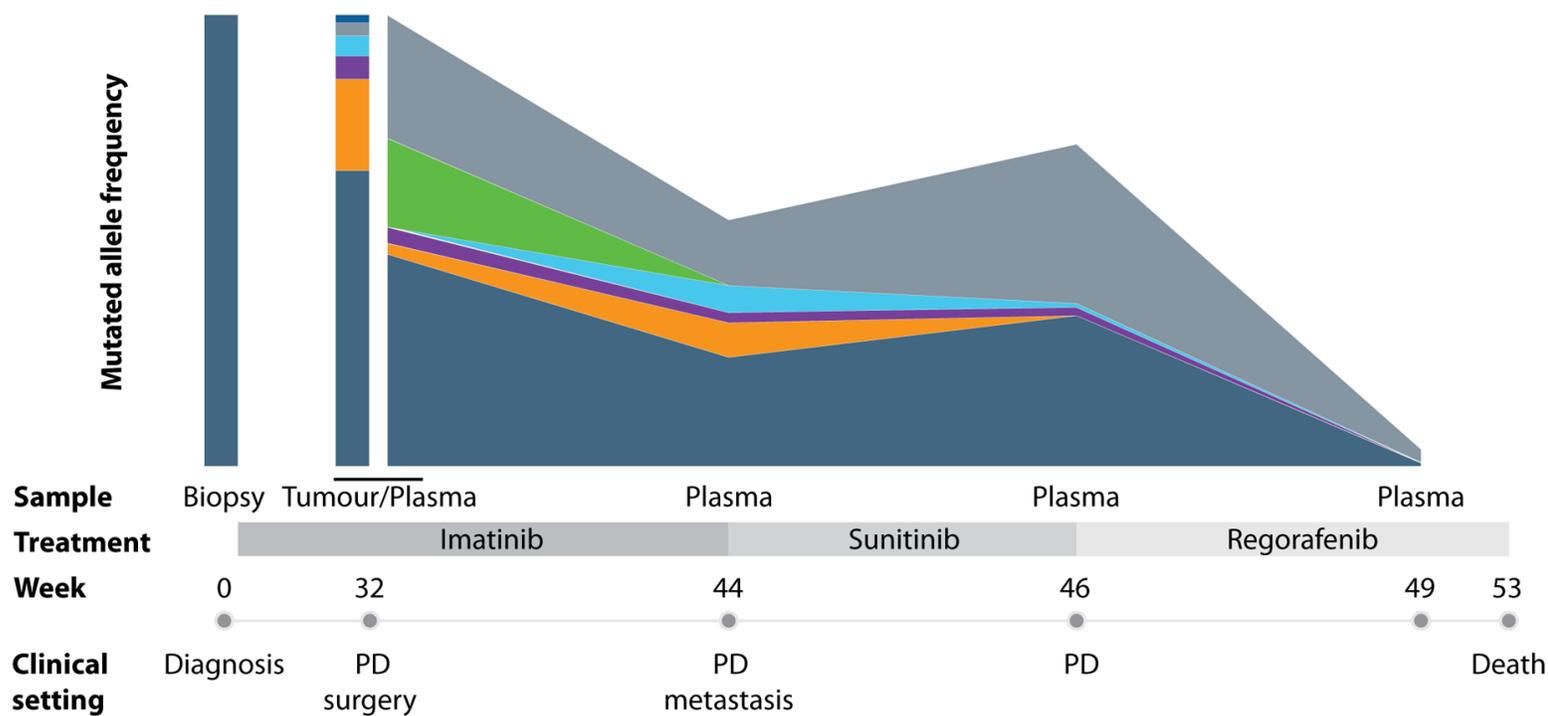


Figure 3

**A**



**B**



# Molecular Cancer Therapeutics

## Non-invasive detection of ctDNA reveals intratumour heterogeneity and is associated with tumour burden in gastrointestinal stromal tumour

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