

# A genomic approach to identify molecular pathways associated with chemotherapy resistance

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## Abstract

Resistance to chemotherapy in cancer is common. As gene expression profiling has been shown to anticipate chemotherapeutic resistance, we sought to identify cellular pathways associated with resistance to facilitate effective combination therapy. Gene set enrichment analysis was used to associate pathways with resistance in two data sets: the NCI-60 cancer cell lines deemed sensitive and resistant to specific chemotherapeutic agents (Adriamycin, cyclophosphamide, docetaxel, etoposide, 5-fluorouracil, paclitaxel, and topotecan) and a series of 40 lung cancer cell lines for which sensitivity to cisplatin and docetaxel was determined. Candidate pathways were further screened *in silico* using the Connectivity Map. The lead candidate pathway was functionally validated *in vitro*. Gene set enrichment analysis associated the matrix metalloproteinase, p53, methionine metabolism, and free pathways with cytotoxic resistance in the NCI-60 cell lines across multiple agents, but no gene set was common to all drugs. Analysis of the lung cancer cell lines identified the bcl-2 pathway to be associated with cisplatin resistance and the AKT pathway enriched in cisplatin- and docetaxel-resistant cell lines. Results from Connectivity Map supported an association between

phosphatidylinositol 3-kinase/AKT and docetaxel resistance but did not support the association with cisplatin. Targeted inhibition of the phosphatidylinositol 3-kinase/AKT pathway with LY294002, in combination with docetaxel, resulted in a synergistic effect in previously docetaxel-resistant cell lines but not with cisplatin. These results support the use of a genomic approach to identify drug-specific targets associated with the development of chemotherapy resistance and underscore the importance of disease context in identifying these pathways. [Mol Cancer Ther 2008;7(10):3141–9]

## Introduction

The development of chemotherapy resistance poses a significant problem to patients and providers who rely on conventional cytotoxic agents for the treatment of malignant disease. Although the mechanisms underlying the development of resistance are partially understood, the most important mechanisms and associated biological pathways remain unknown. Potential mechanisms include, but are not limited to, the use of multidrug resistance transporters resulting in decreased tumor drug concentrations, reduced drug activation through increased detoxification of drug, alterations in the drug target, and alterations in apoptosis regulator genes (1–3). The identification and subsequent targeting of key molecular pathways associated with resistance may allow for increased response rates and improved clinical outcomes for patients.

Gene expression profiling has proven to be a powerful tool allowing for the characterization of tumors at a molecular level. Microarray analyses allow for quantification of gene expression for thousands of genes within an individual specimen. Individual genomic tumor profiles have been used to identify histologic classes of tumor and develop prediction tools for the development of metastatic disease, disease relapse, prognosis, and response to therapy in a variety of malignancies (4). Recently, we have shown that global gene expression can be used to identify patterns predictive of chemotherapy response and/or resistance (5).

Building on these results, we used integrated genomic methods to identify key biological pathways associated with resistance for a series of commonly used cytotoxic chemotherapeutic agents. We additionally explored disease-specific pathways associated with resistance and sought to determine whether disease context was important. Our work suggests that gene expression patterns associated with sensitivity and/or resistance to chemotherapy may be used to identify signaling pathways that can be interrogated to infer underlying biological mechanisms.

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## Materials and Methods

### NCI-60 Cancer Cell Line Drug Sensitivity

Using publicly available chemotherapy sensitivity data for the NCI-60 series of cancer cell lines,<sup>4</sup> cell lines were selected representing the extremes of sensitivity to specific chemotherapeutic agents as described previously (5). Cell lines with mean GI<sub>50</sub> and confidence intervals greater than the overall mean GI<sub>50</sub> - 1 SD from all samples were deemed sensitive (S), whereas those with mean GI<sub>50</sub> and confidence intervals greater than the overall mean GI<sub>50</sub> + 1 SD from all samples were deemed resistant (R). Publicly available total growth-inhibitory and LC<sub>50</sub> doses of the sensitive and resistant subsets were correlated with the respective GI<sub>50</sub> data to ascertain consistency between the total growth inhibition, LC<sub>50</sub>, and GI<sub>50</sub> data. Cell lines with low GI<sub>50</sub>/total growth inhibition needed to have a low LC<sub>50</sub> to be considered sensitive. Likewise, those with the highest total growth inhibition and LC<sub>50</sub> for a given drug were considered resistant. The chemotherapy agents and number of cell lines designated for a particular phenotype are as follows: Adriamycin (10R:12S), cyclophosphamide (8R:8S), docetaxel (7R:7S), etoposide (9R:8S), 5-fluorouracil (8R:7S), paclitaxel (9R:8S), and topotecan (13R:10S). MAS 5.0 normalized U133A gene expression data for each cell line were used for all subsequent computational analyses.

### Gene Set Enrichment Analysis

Gene set enrichment analysis (GSEA) version 2.0<sup>5</sup> was done for each chemotherapy agent based on the predefined phenotype according to previously published methods (6). Gene sets (Supplementary Table S1)<sup>6,7</sup> were preprocessed to exclude gene sets with <10 and >500 genes. As recommended, 1,000 iterations were done per analysis using the default weighted enrichment statistic and a signal-to-noise metric to rank genes based on their differential expression across sensitive and resistant cell lines. Gene sets with a nominal  $P \leq 0.05$  were taken further in the discovery mode (7, 8).

### Lung Cancer Cell Line Sensitivity

To assess disease context as a function of chemotherapy resistance, a spectrum of chemotherapy sensitivity was defined for a series of publicly available lung cancer cell lines to two commercially available agents: cisplatin (Platinol) and docetaxel (Taxotere). A National Cancer Institute cytotoxicity assay using the 1 + 2 screening method was done (9). Cells were grown according to media recommendations by the commercial vendor (American Type Culture Collection) with minimal modification. Cells were plated in drug-free medium at a concentration of 3,000 to 7,000 per well, depending on the growth of the individual cell lines, in tissue culture-treated 96-well plates. Five replicate wells were used for each planned drug concentration. Control wells included cells plated in

growth medium without drug and wells with growth medium but without cells. After 24 h of incubation at 37°C, each cell line was exposed to a series of increasing drug concentrations (0.01 nmol/L-10 µmol/L for docetaxel and 1-25 µmol/L for cisplatin) and subsequently reincubated at 37°C for a maximum of 5 days. Cell cytotoxicity was assessed with propidium iodide (Sigma-Aldrich) staining at days 0 and 5 (FLUOstar Optima, BMG Labtech; ref. 10). Cisplatin and docetaxel were obtained from the Duke University pharmacy storeroom. A corresponding EC<sub>50</sub> (GraphPad Prism, GraphPad Software) for both cisplatin and docetaxel was defined for each cell line from two to five independent replicate experiments and mean EC<sub>50</sub> and corresponding SD were calculated. Phenotypic designations for cisplatin (7R,15S) and docetaxel (4R,10S) were assigned using a similar methodology as for the NCI-60 cancer cell lines.

### Lung Cancer Cell Line RNA Isolation and Microarray Hybridization

Cells were grown to 70% confluence and then starved for 24 h in normal growth medium without fetal bovine serum to minimize background signaling activity. Cells were washed twice with 1× PBS, trypsinized, collected, and counted. RNA was extracted from 5 × 10<sup>6</sup> cells using the QIAgen RNeasy Midi kit (Qiagen). The labeling for Affymetrix DNA microarray analysis was done according to the manufacturer's instructions.<sup>8</sup> Biotin-labeled cRNA, produced by *in vitro* transcription, was fragmented and hybridized to the Affymetrix HT Human Genome U133A array.

### Connectivity Map

To complement findings from GSEA, the top genes (50, 100, and 200) up-regulated and down-regulated for docetaxel resistance, based on a rank-ordered gene list provided by GSEA, were analyzed using the Connectivity Map (cmap)<sup>9</sup> in an attempt to link genes associated with a phenotype with potential therapeutic agents (11). Using cmap, an imported query was compared with predefined signatures of therapeutic compounds and ranked according to a connectivity score (+1 to -1), representing relative similarity to the imported gene lists. Compounds with negative connectivity scores, representing genes expressed in a dissimilar fashion to the imported query, were taken forward for functional validation in an attempt to confer sensitivity in previously resistant cell lines when combined with conventional cytotoxic agents. Results were used in the discovery mode to identify potential therapeutic agents targeting biological pathways identified by GSEA results.

### Synergy Experiments: Targeted Inhibition in Combination with Conventional Cytotoxic Agents

Synergy for the combination of targeted pathway inhibition with conventional cytotoxic therapy was assessed

<sup>4</sup> [http://dtp.nci.nih.gov/docs/cancer/cancer\\_data.html](http://dtp.nci.nih.gov/docs/cancer/cancer_data.html)

<sup>5</sup> <http://www.broad.mit.edu/gsea>

<sup>6</sup> <http://www.broad.mit.edu/gsea/msigdb>

<sup>7</sup> Supplementary material for this article is available at Molecular Cancer Therapeutics Online (<http://mct.aacrjournals.org/>).

<sup>8</sup> <http://www.affymetrix.com/support/technical/byproduct.affx?product=htnecycletargetlabel>

<sup>9</sup> <http://www.broad.mit.edu/cmap>

**Table 1. Top GSEA pathways associated with chemotherapy resistance to multiple agents based on nominal  $P \leq 0.05$  in the NCI-60 cancer cell lines**

Pathway/cytotoxic agent	Adriamycin	Docetaxel	Paclitaxel	Topotecan
Free Pathway				X
MAP00271 Methionine Metabolism		X	X	
Matrix Metalloproteinase		X		X
p53 Up-Regulation	X			X

using CalcuSyn (Biosoft). Briefly, serial 2-fold dilutions of docetaxel (0.25-128 nmol/L) and either LY294002 (50 nmol/L-25.6  $\mu$ mol/L) or SU6656 (50 nmol/L-25.6  $\mu$ mol/L) were applied to docetaxel-resistant, docetaxel-sensitive, and cisplatin-resistant cell lines. The combination of agents was additionally applied to cell lines as a fixed-ratio serial dilution of 1:200 for docetaxel/LY294002 and docetaxel/SU6656. LY294002 and SU6656 were obtained from a commercial source (Sigma-Aldrich). Cytotoxicity was assessed at days 0 and 5 with propidium iodide as described above. A combination index (CI) was calculated based on the Chou and Talalay method with a CI < 1 implying synergy, CI > 1 antagonism, and CI = 1 additive (12). Experiments were done in triplicate to obtain mean CI and SD.

#### Detection of pAKT

Select docetaxel-resistant cell lines (H322, H460, and H2030) were grown to 70% confluence in recommended growth medium per the commercial vendor (American Type Culture Collection) with minor modification. EC<sub>50</sub> concentrations for docetaxel, LY294002, and the combination, based on single-agent cytotoxicity assays as determined by CalcuSyn (Biosoft), were added to each cell line with protein lysates isolated at 0, 3, 6, 9, and 12 h post-drug exposure. Western blot analysis was done to confirm phosphatidylinositol 3-kinase (PI3K)/AKT pathway inhibition using commercially available antibodies to pAKT (Ser<sup>473</sup>), total AKT, and  $\beta$ -actin. Briefly, 30 to 50 mg protein lysate was electrophoresed on a 10% Tris-HCl gel (Bio-Rad Ready Gel, Bio-Rad Laboratories) and subsequently transferred to a biomembrane blot (Immobilon-P, Millipore) using a semidry transfer apparatus (Trans-Blot SD Semi-Dry Electrophoretic Transfer Cell, Bio-Rad Laboratories). Blots were blocked in 5% milk for 60 min before being hybridized overnight at 4°C with 1:1,000 dilution of primary antibody (Ser<sup>473</sup> pAKT, total AKT, or  $\beta$ -actin control; Cell Signaling Technology). After primary hybridization, blots were washed for 15 min (5 min  $\times$  3), in 0.5% TBS-Tween 20 and then hybridized to a 1:1,000 dilution of secondary antibody for 60 min. Blots were then washed with 0.5% TBS-Tween 20 for 25 min (5 min  $\times$  5) and exposed to a chemiluminescence reagent (Western Lightning Western Blot Chemiluminescence Reagent; Perkin-Elmer Life and Analytical Sciences) for radiographic detection of protein.

## Results

### GSEA Analysis for NCI-60 Cancer Cell Lines

Previous work has shown that differential gene expression between NCI-60 cell lines sensitive or resistant to chemotherapy can be used to create expression-based signatures predicting response or resistance in human tumors (5, 13, 14). Here, we investigated the biology underlying the differential gene expression across cell lines to discover mechanisms of resistance and targets for combination therapy. By applying GSEA (6), a computational method that identifies shared differential gene expression of predefined, functionally related gene sets representing biological pathways,<sup>10</sup> we identified biological pathways associated with resistance for each chemotherapy agent tested. Previously described associations between biological mechanisms and chemotherapy resistance were identified including p53 with Adriamycin, K-ras with etoposide, mammalian target of rapamycin with paclitaxel, and mitogen-activated protein kinase with topotecan (15-18). In addition to known associations, potentially novel pathways associated with resistance were identified for each agent (Supplementary Table 2). No pathways were common to all agents and no pathway was found to be significantly enriched in resistant versus sensitive cell lines across all agents investigated. Four pathways, however, were associated with resistance to more than one agent including Free Pathway (free radical-induced apoptosis), matrix metalloproteinase, p53, and methionine metabolism (Table 1).

### Cytotoxicity Assays for Lung Cancer Cell Lines

Given the broad but sparse representation of specific cancer types in the NCI-60 and the potential importance of cellular context in the biological mechanisms resulting in resistance, we sought to determine molecular pathways associated with chemotherapy resistance in a large collection of non-small cell lung cancer cell lines. The *in vitro* sensitivity for 40 publicly available lung cancer cell lines was determined to both cisplatin (Fig. 1A) and docetaxel (Fig. 1B), two chemotherapy agents frequently used in combination for patients with advanced lung cancer. As with the NCI-60 cell lines, this set of lung cancer cell lines had a broad range of sensitivity to both agents with a 21-fold difference between the least (mean EC<sub>50</sub>,

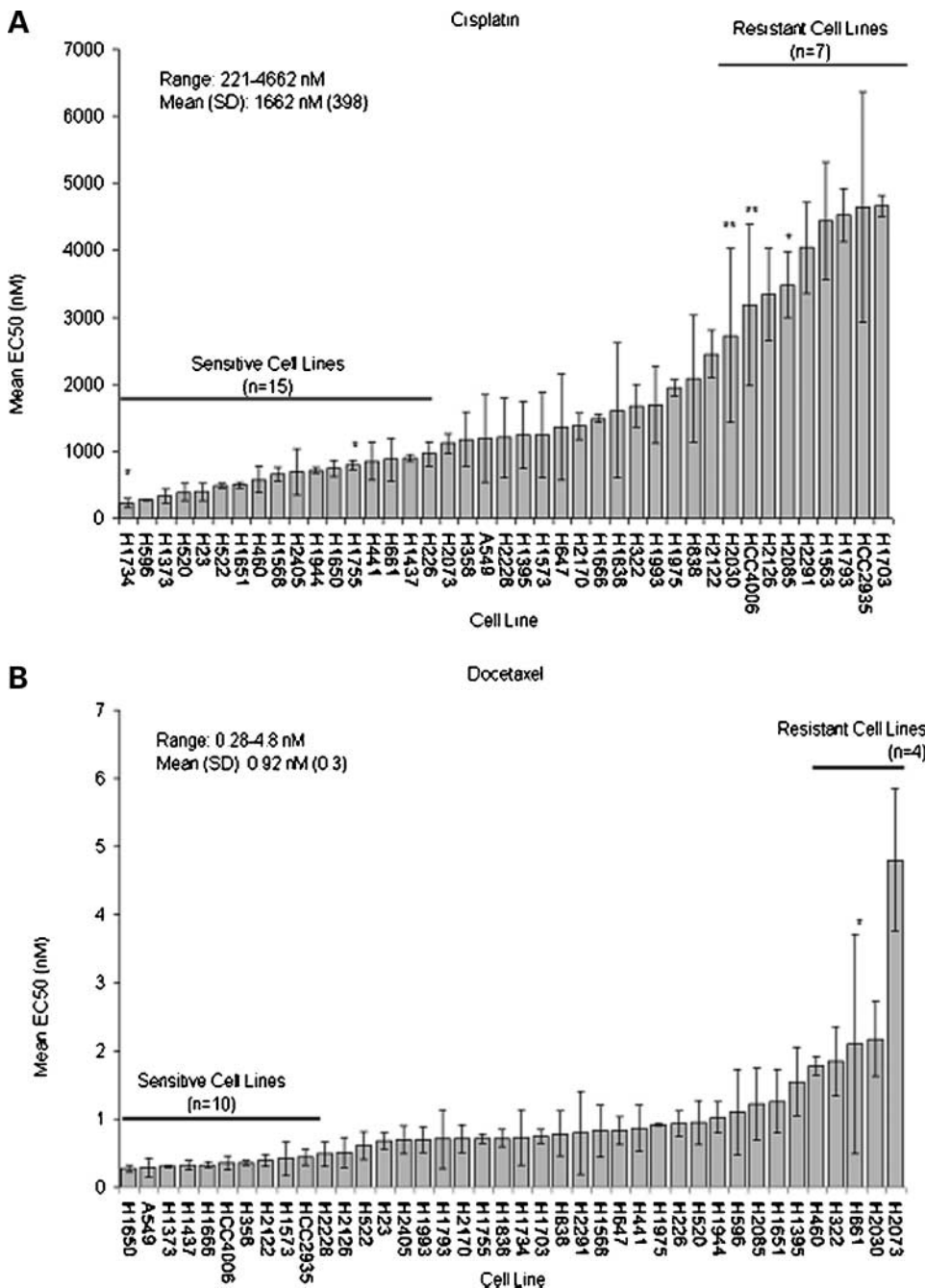
<sup>10</sup> <http://www.broad.mit.edu/gsea/msigdb/index.jsp>

4,662 nmol/L) and most sensitive (mean  $EC_{50}$ , 221 nmol/L) cell lines to cisplatin (mean  $EC_{50}$  across all samples,  $1,662 \pm 398$  nmol/L) and a 17-fold difference between the least sensitive (mean  $EC_{50}$ , 4.8 nmol/L) and the most sensitive (mean  $EC_{50}$ , 0.28 nmol/L) cell lines to docetaxel was noted with mean  $EC_{50}$  across all samples of  $0.92 \pm 0.3$  nmol/L.

#### GSEA Analysis for Lung Cancer Cell Lines

Using previously established thresholds to identify lung cancer cell lines as either sensitive or resistant ( $EC_{50} > 1$  SD away from mean  $EC_{50}$ ; ref. 5), we identified pathways

associated with resistance to cisplatin and docetaxel using GSEA (Table 2). Twenty pathways were associated with docetaxel resistance and 23 pathways with cisplatin resistance (at nominal  $P \leq 0.05$ ). Of these, the bcl-2 pathway was associated with cisplatin resistance (at nominal  $P = 0.002$ ), consistent with previously known associations (19–21). Two pathways were associated with resistance to both agents (AKT and SA Programmed Cell Death). Importantly, AKT gene sets along with PI3K-specific gene sets were consistently associated with docetaxel resistance



**Figure 1.** Sensitivity spectrum to cisplatin (**A**) and docetaxel (**B**) in a series of lung cancer cell lines ( $n = 40$ ). Cell lines included in sensitive or resistant phenotypes are noted with exceptions (\*, no expression data available; \*\*, error bars overlapped within 1 SD of overall mean).

**Table 2. Top GSEA pathways associated with cisplatin and docetaxel resistance in lung cancer cell lines with a nominal  $P < 0.05$  (common pathways shaded)**

Cisplatin resistance pathways	No. genes/set	Nominal $P$	Docetaxel resistance pathways	No. genes/set	Nominal $P$
SA Programmed Cell Death	25	0.002	MAP00020 Citrate Cycle TCA Cycle	27	0
Bcl-2 Family and Regulatory Network	51	0.002	FMLP Pathway	78	0.003
SIG BCR Signaling Pathway	95	0.007	GCR Pathway	42	0.006
Ceramide Pathway	35	0.008	AHSP Pathway	22	0.008
Fatty Acid Metabolism	44	0.01	RAS Pathway	42	0.009
MAP00410 $\beta$ -Alanine Metabolism	27	0.02	ST GAQ Pathway	48	0.009
MAP00193 ATP Synthesis	30	0.02	PTC1 Pathway	16	0.01
MAP03070 Type III Secretion System	30	0.02	ETC Pathway	11	0.01
MAP00195 Photosynthesis	31	0.02	MAP00632 Benzoate Degradation	10	0.01
PEPI Pathway	11	0.03	ROS	13	0.02
TC Apoptosis Pathway	20	0.03	FA	48	0.03
MAP00190 Oxidative Phosphorylation	71	0.03	MALATEX Pathway	13	0.03
HL60 ATRA BEN	198	0.03	Chemical Pathway	44	0.03
AKT Pathway	37	0.04	NDK Dynamin Pathway	48	0.04
IL10 Pathway	19	0.04	CREM Pathway	18	0.04
T Cytotoxic Pathway	23	0.04	SA Programmed Cell Death	25	0.04
Mitochondria Pathway	34	0.04	ST GA13 Pathway	70	0.05
SIG IL4 Receptor in B Lymphocytes	53	0.04	MAP00062 Fatty Acid Biosynthesis Path 2	11	0.05
Arginine C Pathway	12	0.04	BAD Pathway	45	0.05
CTL Pathway	24	0.04	AKT Pathway	37	0.05
HSP27 Pathway	29	0.04			
SA FAS Signaling	27	0.04			
Fatty Acid Degradation	51	0.05			

regardless of the method used to derive microarray data (MAS5 versus RMA) or empirical thresholds used to identify sensitive and resistant cell lines (mean  $EC_{50}$ ,  $>0.5$  or  $>1$  SD with or without overlapping error bars). Thus, the association of AKT with docetaxel resistance was sufficiently robust to not be significantly affected by changes in

data processing and that the association of AKT with docetaxel resistance may be reflective of increased PI3K activity.

When GSEA results for docetaxel from the NCI-60 cancer cell line analysis are compared with the lung cancer cell line analysis, only one pathway without prior association

rank	batch $\blacktriangle$	cmap name $\blacktriangle$	dose	cell	score $\blacktriangle$
1	35	LY-294002	10 $\mu$ M	HL60	-1
2	513	sirolimus	100 nM	MCF7	-0.978
3	504	Y-27632	3 $\mu$ M	MCF7	-0.915
4	513	prochlorperazine	10 $\mu$ M	MCF7	-0.905
5	502	5253409	17 $\mu$ M	MCF7	-0.853
6	513	sirolimus	100 nM	MCF7	-0.852
7	505	5152487	10 $\mu$ M	MCF7	-0.848
8	24	exisulind	50 $\mu$ M	MCF7	-0.845
9	506	valproic acid	1 mM	MCF7	-0.842
10	20	indometacin	20 $\mu$ M	MCF7	-0.838
11	505	ionomycin	2 $\mu$ M	MCF7	-0.835
12	65	rofecoxib	10 $\mu$ M	PC3	-0.832
13	35	trichostatin A	100 nM	HL60	-0.815
14	513	17-allylamino-geldanamycin	1 $\mu$ M	MCF7	-0.813
15	502	17-allylamino-geldanamycin	1 $\mu$ M	MCF7	-0.810

**Figure 2.** Results of cmap screen for compounds with expression signatures opposite those of imported query for docetaxel resistance (screen shot from Web browser).

rank	batch $\Delta$	cmap name $\Delta$	dose	cell	score $\Delta$
1	21	thalidomide	100 $\mu$ M	MCF7	-1
2	59	clofibrate	100 $\mu$ M	MCF7	-.992
3	21	genistein	1 $\mu$ M	MCF7	-.951
4	22a	fludrocortisone	1 $\mu$ M	MCF7	-.951
5	112	benserazide	10 $\mu$ M	MCF7	-.895
6	46	clozapine	10 $\mu$ M	MCF7	-.884
7	513	valproic acid	500 $\mu$ M	MCF7	-.856
8	1	metformin	10 $\mu$ M	MCF7	-.843
9	21	prednisolone	1 $\mu$ M	MCF7	-.833
10	505	5140203	15 $\mu$ M	MCF7	-.823
11	505	5162773	7 $\mu$ M	MCF7	-.812
12	40	genistein	10 $\mu$ M	MCF7	-.807
13	36	pirinixic acid	100 $\mu$ M	MCF7	-.805
14	21	genistein	1 $\mu$ M	MCF7	-.799
15	94	probucol	10 $\mu$ M	MCF7	-.799

**Figure 3.** Results of cmap screen for compounds with expression signatures opposite those of imported query for cisplatin resistance (screen shot from Web browser).

with docetaxel resistance was common to both (Benzoate Degradation). This observation, combined with those from the NCI-60 across different agents, shows the effect of disease context and drug specificity on pathway-associated resistance mechanisms.

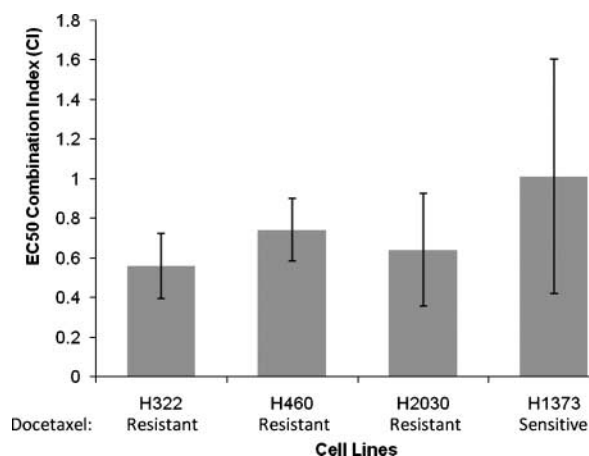
#### cmap Implicates PI3K Pathway and Docetaxel Resistance but Not Cisplatin Resistance

Although GSEA is one approach with which to associate biological processes with gene expression differences across specific phenotypes (chemotherapy resistance), alternative methods are available. The cmap is one such method that compares lists of differentially expressed genes to a library of experiments assessing the effect of small molecules and genetic events on gene expression. Using the cmap, LY294002, a direct PI3K inhibitor, was found to be highly ranked among compounds with antagonistic effects on genes associated with docetaxel resistance (connectivity score -1; Fig. 2). A query for compounds based on the imported genes for cisplatin resistance revealed numerous compounds of potential interest, including thalidomide but did not include LY294002 (Fig. 3). To determine how sensitive the association between LY294002 and docetaxel was to the number of differentially expressed genes included in the query, the top 100 and 200 genes up-regulated and down-regulated for both cisplatin and docetaxel resistance were also imported into the cmap. LY294002 was consistently antagonistic to the genes associated with docetaxel resistance (data not shown). In contrast, across the cisplatin experiments, LY294002 was never ranked in the top 25 and had a consistently weaker antagonism of cisplatin resistance.

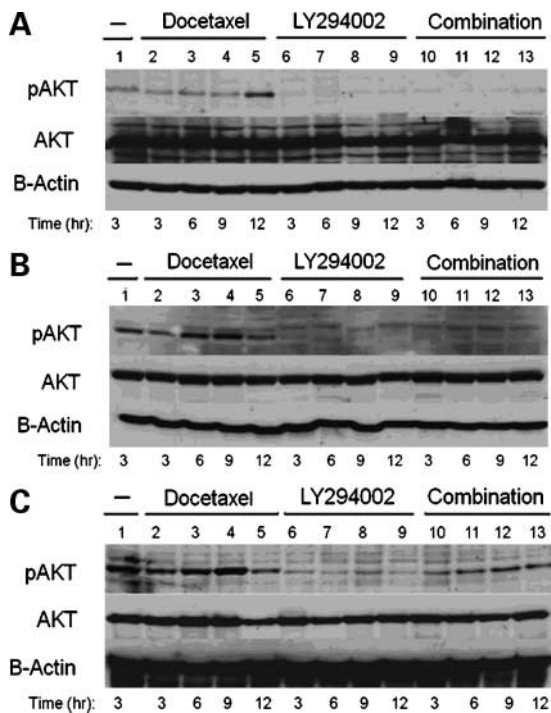
#### PI3K/AKT Pathway Inhibition and Synergy Assessment

The results from GSEA and the cmap associated the PI3K/AKT activity with resistance to docetaxel and served

as the rationale to test for synergy between docetaxel and PI3K inhibition (with LY294002) in docetaxel-resistant lung cancer cell lines. When fixed dilutions of docetaxel and LY294002, separately and in combination, were applied to the lung cancer cell lines most resistant to docetaxel, the CIs were all <1, suggesting synergy [H322 (mean CI,  $0.56 \pm 0.16$ ), H460 (mean CI,  $0.74 \pm 0.16$ ), and H2030 (mean CI,  $0.64 \pm 0.28$ ; Fig. 4)]. PI3K inhibition, as shown by Western blot analysis for phosphorylated AKT, was seen consistently with LY294002 treatment and was generally not affected by treatment with docetaxel (Fig. 5). Interestingly, in a single cell line, phosphorylated AKT increased at 12 h of docetaxel treatment. The association of docetaxel



**Figure 4.** CI for the combination of docetaxel and LY294002 in three docetaxel-resistant cell lines (H322, H460, and H2030) and one docetaxel-sensitive cell line (H1373). CI suggests synergy (CI < 1), additivity (CI = 1), or antagonism (CI > 1).



**Figure 5.** Western blot assessment of AKT pathway inhibition in docetaxel-resistant H322 (A), H460 (B), and H2030 (C) cell lines after timed exposure to docetaxel, LY294002, and the combination.

resistance and PI3K activity appears specific as a docetaxel-sensitive cell line (H1373) did not reveal synergy for the combination (CI,  $1.01 \pm 0.60$ ; Fig. 4) nor did the combination of cisplatin and LY294002 in docetaxel-resistant cell lines H322 (CI,  $2.66 \pm 0.19$ ), H460 (CI,  $1.30 \pm 0.29$ ), H2030 (CI,  $2.09 \pm 0.34$ ), or a cisplatin-resistant cell line H1703 (CI,  $1.15 \pm 0.22$ ; Fig. 6). Finally, the combination of docetaxel with a Src inhibitor (SU6656) in docetaxel-resistant cell lines additionally failed to reveal synergy (Fig. 7), thus strengthening the observed association of docetaxel resistance with the PI3K/AKT pathway.

## Discussion

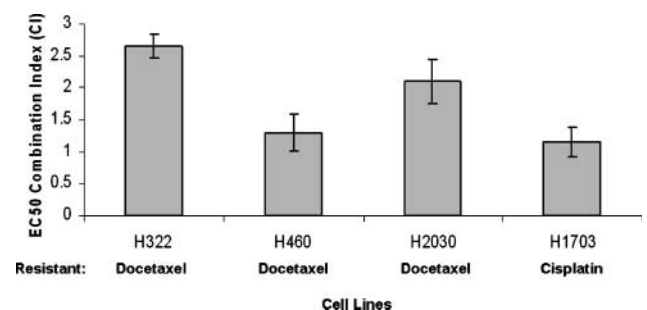
Resistance to chemotherapy is a universal concern for cancer patients and their providers. Building on the successes of multiple groups using *in vitro* derived cell line sensitivity and gene expression data to build predictive models that anticipate patient response or resistance to cytotoxic therapy, we used integrated computational approaches to identify biological pathways implicated by differential gene expression between sensitive and resistant cell lines to identify rational targets for combination therapy.

Although common mechanisms of resistance across cytotoxic agents and types of cancer would facilitate standard approaches, our analysis suggests that the biology associated with resistance is relatively specific to agents and significantly affected by the context of the cell lines

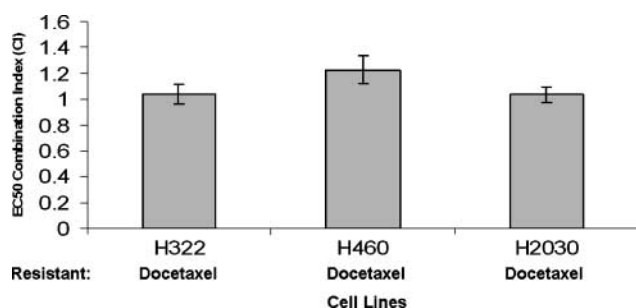
tested. No single biological pathway was associated with all cytotoxic agents when the NCI-60 cell line data were analyzed for Adriamycin, cyclophosphamide, docetaxel, etoposide, 5-fluorouracil, paclitaxel, and topotecan. In fact, using a previously established level of statistical significance (at nominal  $P \leq 0.05$ ), only four pathways were associated with resistance to two agents and no pathway was found to be common to more than two agents. Furthermore, the pathways associated with docetaxel resistance by the NCI-60 data were significantly different from those identified in the lung cancer cell lines. Thus, future approaches at identifying molecular pathways associated with resistance are likely to be most successful when focused on specific agents in specific disease states.

The implication of commonly known mechanisms of resistance with specific agents suggests that our approach identifies important associations and the lack of common mechanisms across all agents is not a false negative. As an example, our results identified a gene set involved with Bcl-2 regulation to be significantly enriched for cisplatin resistance. Members of the Bcl-2 family are known to be overexpressed in non-small cell lung cancer cells and associated with altered apoptosis. As a result, Bcl-2 has been the target of antisense strategies in an attempt to increase chemotherapeutic effectiveness (22) and a recent study reported the effects between GX15-070, a pan-Bcl-2 inhibitor, and cisplatin in the treatment of non-small cell lung cancer cell lines (23). In addition, our analysis found cisplatin resistance to be associated with three gene sets (ATP Synthesis, Photosynthesis, and Type III Secretion System) involved in the production of the  $H^+$ -ATPase. Cisplatin-resistant cell lines have been shown previously to have significantly increased intracellular pH compared with sensitive cell lines with an ability to confer sensitivity through the concomitant use of  $H^+$ -ATPase inhibitors, such as bafilomycin (24).

Interestingly, little overlap was observed in the pathways associated with resistance for docetaxel and paclitaxel. Although initially surprising, it is important to note that docetaxel is currently Food and Drug Administration approved for the second-line treatment of non-small cell



**Figure 6.** CI for the combination of cisplatin and LY294002 in three docetaxel-resistant cell lines (H322, H460, and H2030) and one cisplatin-resistant cell line (H1703). CI suggests synergy (CI < 1), additivity (CI = 1), or antagonism (CI > 1).



**Figure 7.** CI for the combination of docetaxel and SU6656 in three docetaxel-resistant cell lines (H322, H460, and H2030). CI suggests synergy (CI < 1), additivity (CI = 1), or antagonism (CI > 1).

lung cancer after failure of frontline platinum-based doublet. Multiple published studies support the use of docetaxel alone or in combination with other agents for relapsed or refractory non-small cell lung cancer despite prior paclitaxel therapy, suggesting the potential for differing mechanisms of resistance (25–28). Finally, prior exposure to paclitaxel has been shown to not decrease the likelihood of response to docetaxel nor affect survival (29).

The identification of previously known pathways implicated in the development of resistance serves as a validation for our methodology and raises interest in novel pathways associated with cytotoxic resistance. A gene set involved with methionine metabolism, for example, was found to be a common pathway to both docetaxel and paclitaxel resistance in the NCI-60 cancer cell line analysis. Cancer cells are often dependent on methionine for proliferation and its presence has been associated with cancer cell growth (30). Strategies of methionine depletion, in attempt to arrest cell cycle growth and improve chemosensitivity, have been reported in both animal models and cancer patients and paclitaxel has recently been shown to have increased activity in methionine-depleted cancer cell lines (31). Gene sets for Sonic Hedgehog Signaling (SHH LISA and SHH Pathway) were enriched for docetaxel resistance in the analysis of the NCI-60 cancer cell lines, an interesting finding given the fact that recent inhibition of these pathways has been associated with increased responsiveness to a number of chemotherapeutic agents, including docetaxel (32, 33). Although these gene sets were not enriched to the same degree in the lung cancer-specific cell lines, these pathways were identified among the top 50 ranked gene sets based on the GSEA normalized enrichment score and may reflect the statistical effect of the small number of resistant lung cancer-specific cell lines examined.

Non-small cell lung cancer is a leading cause of cancer-related mortality and the development of resistance to cytotoxic therapy is universal. As such, we focused on determining the specific biological pathways implicated by differential sensitivity to docetaxel and cisplatin, two of the most commonly used agents, frequently in combination, in treating lung cancer. The pathways associated with

resistance were mostly different with the exception of AKT (AKT Pathway) and apoptosis (SA Programmed Cell Death). In taking an integrated genomic approach by using the cmap, an inhibitor of PI3K was found to antagonize the gene expression patterns associated with resistance to docetaxel but not cisplatin, further strengthening the association between PI3K and/or AKT activity and docetaxel resistance. Indeed, we subsequently showed synergy between the PI3K inhibitor (LY294002) implicated by the cmap and docetaxel in resistant cell lines but not in sensitive cell lines, validating our approach and the implication of PI3K/AKT with docetaxel resistance.

Although we showed synergy between docetaxel and PI3K/AKT inhibition *in vitro*, there is appropriate concern that such findings are irrelevant to human disease. This concern is appropriate and supported by significant literature finding little correlation between *ex vivo* inhibition of individual's tumors and their clinical response to agents. However, the successful application of *in vitro* derived predictive models to anticipate patient response suggests that the measured global gene expression differences reflect the important biology associated with response to cytotoxic agents in human tumors (5, 13). Interestingly, the most successful approaches have used aggregates of genes rather than individual genes to develop predictive models and/or identify biology. It is our hypothesis that such methods are successful because they determine if the expression differences between sensitive and resistant cell lines or patient samples are consistently similar and not necessarily if they are the same (34). GSEA specifically focuses on predefined gene sets, representing biological pathways, rather than individual genes. In so doing, GSEA does not rely heavily on the absolute differential expression (does not require high fold differences), can tolerate if some genes have expression no longer of relevance (they become just noise), and leverages the statistical advantage of coordinated changes in expression. Thus, GSEA is one of several computational approaches that help facilitate the bridge between *in vitro* modeling and human tumor analysis.

The definitive assessment of the synergy between PI3K/AKT inhibition and docetaxel resistance in human lung cancer tumors will require a clinical trial. Given the importance of the PI3K/AKT pathway, there are a growing number of inhibitors under commercial development. Our findings suggest that the most efficient means of developing inhibitors is to perform trials where patients are chosen based on their anticipated resistance to docetaxel. Specifically, microarray analysis can be done on patients with advanced lung cancer and a docetaxel sensitivity predictor applied. For patients predicted to be sensitive, docetaxel alone or as part of an established doublet (with cisplatin) would be administered, whereas patients predicted to be resistant would receive docetaxel combined with a PI3K/AKT inhibitor or other cytotoxic agents. Clearly, such a trial design is dependent on phase I trials showing safety and establishing combination doses. Our institution currently has a protocol using a microarray-based cisplatin predictor



to stratify patients between cisplatin-based treatment (if predicted to be sensitive) or pemetrexed-based therapy (if predicted to be cisplatin resistant). This trial uses information from the individual's tumor to determine therapy and represents a model for how medical oncology can move beyond empiricism to personalized, molecular-based care.

In conclusion, the mechanisms underlying the development of chemotherapy resistance are only partially understood with the most important mechanisms and key biological pathways remaining unknown. Gene expression profiling identifies involved genes and pathways associated with resistance and allows for the prediction of therapeutic response. Novel computational methods allow for the determination of appropriate biological relevance and identification of pathways that may serve as targets for novel therapeutics. By combining standard chemotherapy with pathway-specific therapies, one takes a crucial step in developing individualized, patient-specific therapy.

## Disclosure of Potential Conflicts of Interest

No potential conflicts of interest were disclosed.

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## Retraction in Part: A Genomic Approach to Identify Molecular Pathways Associated with Chemotherapy Resistance

We wish to retract Table 1 and Supplemental Table 1 from our article entitled "A genomic approach to identify molecular pathways associated with chemotherapy resistance," which was published in the October 2008 issue of *Molecular Cancer Therapeutics* (1).

Using previously published annotations for chemotherapy sensitivity in the NCI-60 series of cancer cell lines (2), we performed gene set enrichment analysis on predefined groups of sensitive and resistant NCI-60 cell lines for a range of chemotherapies to identify biological pathways associated with resistance. We purposefully used the annotations for sensitivity and resistance published in the *Nature Medicine* article and applied a complementary computational approach in order to glean biological insight from the differential gene expression. The article upon which our annotations were based has now been retracted (3). After re-examination, the annotations for the cell lines with respect to chemotherapy sensitivity were erroneous. Thus, our manuscript propagates this error and the results in Table 1 and Supplemental Table 1 from our manuscript are invalid.

The majority of the paper reports our work including *in vitro* sensitivity testing for 40 lung cancer cell lines, identification of pathways associated with resistance to tested agents, and functional validation of a lead candidate pathway *in vitro*. These data appear in the remaining Figures 1–7 and Table 2 of the paper and we remain confident in our analysis and findings.

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# Molecular Cancer Therapeutics

## A genomic approach to identify molecular pathways associated with chemotherapy resistance

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