Minireview

Why Target Apoptosis in Cancer Treatment?

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Abstract
Defective apoptosis (programmed cell death) represents a major causative factor in the development and progression of cancer. The ability of tumor cells to evade engagement of apoptosis can play a significant role in their resistance to conventional therapeutic regimens. Our understanding of the complexities of apoptosis and the mechanisms evolved by tumor cells to resist engagement of cell death has focused research effort into the development of strategies designed to selectively induce apoptosis in cancer cells. This article will review the underlying mechanisms of apoptosis and the ways in which tumor cells modulate these processes to promote their survival and evaluate the efficacy of current clinical approaches aimed at exploiting these defects to selectively induce apoptosis in tumor cells.

Introduction
Until relatively recently, the underlying cause of cancer was attributed to accelerated or dysregulated proliferation leading to cellular expansion and accumulation of tissue mass. Without question, key regulators of the cell cycle are frequently altered in many tumor types, with a consequent impact on elements of proliferative control such as cell cycle checkpoints and the response to DNA damage. For that reason, many current chemotherapeutic strategies are designed to exploit such abnormalities to induce cytotoxicity and tumor regression or cytostasis to halt tumor progression. Abnormal proliferative capacity, however, is only part of the picture. Recent progress has broadened our understanding of cancer and its underlying etiology to encompass aberrant cellular survival, as a consequence of failing to appropriately induce apoptosis or cell death, as a major contributor to the transformed state.

The recognition that aberrant apoptosis may constitute a major clinical hurdle to overcome in the treatment of cancer has spawned a variety of strategies aimed at exploiting this pathway in the hope of being able to trigger tumor-selective cell death. The goal of any therapeutic strategy is to impact on the target tumor cells with limited detrimental effect to normal cell function. It is often the case that perturbation of tumor cell function so severely compromises normal cellular homeostasis that therapeutic intervention is of limited clinical value. The challenge lies in the fact that all normal cells also have the capacity to engage the apoptotic program and, furthermore, often do so more readily than their tumorigenic counterparts. The problem of attaining drug selectivity is no more clearly demonstrated than by many of the conventional chemotherapeutics that are designed to exploit the accelerated proliferative response seen in many tumor types (1), with devastating consequences for healthy proliferating cells. So can we really hope to exploit apoptosis as a therapeutic strategy and achieve tumor-selective killing without compromising normal cell function? In principle, that may be possible if we can exploit the expression and/or function of apoptotic-related molecules that are exclusively inherent to maintaining tumor cell function or molecules that are regulated in a different manner in tumor cells and in normal cells.

Apoptosis is just one form of cell death. Cells may be eliminated by a number of alternative mechanisms including necrosis. Necrosis is typically described as a “nonspecific” form of cell death, characterized by rupture of the plasma membrane with a consequent localized inflammatory response and damage to surrounding cells and tissues (2). In contrast, apoptosis is associated with the rapid engulfment and removal of cell corpses by phagocytic cells that recognize “eat-me” signals displayed on the outer surface of the apoptotic cell (3). Furthermore, apoptotic cell death is the consequence of a series of precisely regulated events that are frequently altered in tumor cells. This provides the opportunity for selective clinical intervention to bring about the death of the tumor cell without damage to normal cells. These basic differences between these two processes of cell death underscore the reason why apoptosis, and not necrosis, represents the most desirable target mechanism for the induction of cell death in tumor cells.

Cancer and Apoptosis: First Principles
Cancer is a highly heterogeneous disease, arising from multiple tissue types and displaying great genetic diversity. However, recent insight has encouraged some to suggest that the underlying etiology and progression of the disease can be reduced to two lesions, mutations that give rise to excessive proliferation and a compensatory disruption of survival signaling pathways that ensures the persistence of these hyperproliferative cells (4). This theory forges the fundamental link between neoplasia and apoptosis, as exemplified by the ability of oncogenes including Myc, and tumor suppressors such as p53 to actively engage apoptosis. The ability of Myc to drive apoptosis in addition to providing a potent proliferative signal is interpreted as a failsafe mechanism to offset its oncogenic capacity (5). Although this
molecules to facilitate their own autoprocessing. These now
in which
subunits. The generation of active caspases forms a cascade
erotetrameric caspase comprising two large and two small
large subunits of the proenzyme to generate a mature het-
alyzed as inactive zymogens, which must be proteolytically
cleaved at two (or three in some cases) aspartate residues to
aspartate-specific cysteine proteases, the caspases (cystei-
anistic basis for these changes focuses on the activity of
plasma membrane, and cell shrinkage. The underlying mechan-
amic basis for these changes focuses on the activity of
chromatin condensation, DNA fragmentation, blebbing of the
and inducing stimulus, are largely morphological and include
eryteterameric caspase comprising two large and two small
subunits. The generation of active caspases forms a cascade in
which “initiator” caspases interact with specific adapter
molecules to facilitate their own autoprocessing. These now
active initiator caspases in turn cleave and activate the
downstream “executioner” caspases. These then cleave their
target substrates to orchestrate the proteolytic disman-
tling of the cell (6–9).

This sequence of events culminating in the activation of
caspases has been broadly categorized into two pathways, the “extrinsic” pathway characterized by the engagement of
cell surface “death receptors” and the “intrinsic” pathway
involving key mitochondrial events. In both cases, an initiator
caspase, via its interaction with a specialized adapter mole-
cule, mediates its self-activation and, ultimately, activation of the
downstream effector or executioner caspases. It is the activity of these caspases that ensure destruction of the cell.

Death Receptor-mediated Apoptosis: The Extrinsic Pathway
The extrinsic pathway to caspase activation can be mediated
by one of several death receptors when bound by the ap-
propriate ligand (10). Currently, the most clearly understood
of these pathways is interaction between the Fas receptor and its ligand, FasL,2 and activation of the TNF-R1 by TNF.
In both cases, the ligand binds to and induces trimerization of its receptor [although these receptors may also exist as
preformed trimers in some cases (11, 12)]. This initial binding
triggers a series of events, the physiological consequence of
which is governed by the differential recruitment of special-
ized adapter molecules that selectively engage downstream
signaling events.

Two major classes of adapter molecules have been de-
scribed: TRAFs and DD-containing molecules. The selective
recruitment of these molecules is determined according to
whether the receptor itself contains a DD or TRAF binding
motif. Both the TNF-R1 and the Fas receptors contain DDs
and recruit the DD-containing adapter molecules TNF-R1-
associated DD (TRADD) and FADD, respectively. In the case
of Fas, the homotypic interaction between the DD of Fas and
that of FADD induces the recruitment of procaspase-8 via
interaction between death effector domains in FADD and the
prodomain of the caspase. The FADD-bound dimer of pro-
caspase-8 then autoactivates to release the active enzyme,
which in turn, cleaves and activates the downstream effector
caspases, caspases-3 and −7, which cleave their target sub-
strates to bring about the death of the cell.

TNF is also able to trigger apoptosis, but it can do so by
more than one route, and whereas our understanding of
these events is extensive, it is by no means complete. The
most commonly described pathway of TNF-induced apo-
poptosis is regulated by TRADD-mediated recruitment of FADD
to the TNF-R complex. In turn, FADD associates with pro-
caspase-8 to initiate its cleavage, much like the scenario for
Fas/FasL interaction as described above. However, FADD-
deficient cells can still die in response to TNF, suggesting
that an alternative apoptotic mechanism also exists.

The regulation of these pathways is complex, not least
because activation of the TNF-R1 can trigger not only cell
death but also NF-κB and JNK-mediated signaling events
(13). This culminates in a myriad of physiological responses
including enhanced cellular survival, which may be mediated
in part by the transcriptional up-regulation of antiapoptotic
molecules such as IAPs and TRAF-1 and TRAF-2 that inhibit
caspase-8 activity (Ref. 14; see below). Other molecules that
can directly regulate death receptor-mediated apoptosis in-
clude FLIP, an inactive procaspase-8-like molecule that can
bind to the Fas receptor complex to inhibit the activation of
caspase-8, and silencer of death domains (SODD), which
binds to the TNFR-1 to prevent spontaneous receptor trim-
erization (15).

Mitochondria-mediated Apoptosis: The Intrinsic Pathway
The intrinsic mitochondrial pathway is characterized by the
rapid release of cytochrome c from the mitochondrial inter-
membrane space into the cytosol. Few would dispute that this event is absolutely required for caspase-dependent cell death (at least in mammalian cells), with the exception of granzyme B- and death receptor-induced caspase activation that can proceed independently of mitochondrial involvement (16). Although the precise mechanism of cytochrome c release remains unclear, it requires permeabilization of the outer mitochondrial membrane, proceeds in the absence of caspase activity, is associated with a loss of outer mitochondrial membrane potential \( \Delta \psi \) (17), and is tightly regulated by the pro- and antiapoptotic members of the Bcl-2 family (see below; Ref. 18–20).

Once released into the cytosol, cytochrome c binds to an adapter protein, Atpaf-1, which, in the presence of dATP/ATP, self-oligomerizes to form an Atpaf-1 multimer (21, 22). The Atpaf-1 complex, then recruits procaspase-9 via interaction between specialized CARDs (caspase recruitment domains) at the NH\(_2\) termini of Atpaf-1 and procaspase-9 (23). Formation of the “apoptosome” complex functions to bring several molecules of procaspase-9 into close proximity and, in doing so, promotes its self-processing (24). Active caspase-9 then recruits and cleaves procaspase-3, which is then released into the cytosol to effect the proteolytic degradation of its target substrates (Fig. 1).

In addition to cytochrome c, several other molecules are released from the mitochondria in response to apoptotic stimuli that have a variety of roles in the apoptotic cascade. These are summarized in Table 1. It remains unclear whether mechanisms exist to selectively release one or more of these molecules, or whether permeabilization of the mitochondrial membrane permits the nonselective release of multiple proteins to regulate the apoptotic cascade.

**“Upstream” Regulators of the Mitochondrial Pathway**

Both the release and activity of cytochrome c are under strict regulation by several different mechanisms. These are broadly categorized into upstream regulators that impact on the release of cytochrome c and “downstream” events that regulate the biological consequences of its release.

One of the primary regulators of the mitochondria-mediated pathway to apoptosis is the family of Bcl-2 proteins (19, 20). These proteins are broadly characterized into proapoptotic members that include Bax, Bak, Bik, Bad, and Bid and antiapoptotic proteins such as Bcl-2 and Bcl-xL, based on their ability to either suppress or induce the release of cytochrome c. Despite their broadly opposing activities, all members of the Bcl-2 family contain at least one of the four so-called BH domains (BH1–BH4); a subset of the proapoptotic members, including Bad, Bid, and Bim, contain only the BH3 domain. The BH3 domain mediates interaction between various members of the Bcl-2 family to generate a number of heterodimers and homodimers. BH3-only proteins, e.g., Bid, function to facilitate the activity of proapoptotic family members such as Bax and Bak (33, 34) and represent the target for the antiapoptotic function of Bcl-2 and Bcl-xL (35, 36). Bax-mediated release of cytochrome c coincides with its translocation to the mitochondria (37) and the formation of large multimers that have been suggested to represent the formation of pores in the outer mitochondrial membrane through which cytochrome c and other apoptosis-inducing factors may exit into the cytosol (38). The precise nature of the Bax-mediated opening in the outer mitochondrial membrane remains unclear. Interaction between Bax and the adenine nucleotide translocator as part of the permeability transition pore complex (39) and interaction between Bax and the voltage-dependent anion channel (40) are just two proposed mechanisms by which Bax can regulate the release of cytochrome c from the inter mitochondrial membrane space. However, one recent report indicates that Bax can function in the absence of any additional protein component but instead is dependent upon the lipid cardiolipin (41). It is the relative amounts of pro- and antiapoptotic proteins that determine cellular sensitivity to damage and, ultimately, whether a

<table>
<thead>
<tr>
<th>Protein</th>
<th>Function</th>
<th>Ref. no.</th>
</tr>
</thead>
<tbody>
<tr>
<td>AIF (apoptosis inducing factor)</td>
<td>Proapoptotic factor required for cell death during cavitation in embryonic development</td>
<td>25</td>
</tr>
<tr>
<td>Endo G</td>
<td>Induces DNA fragmentation</td>
<td>26</td>
</tr>
<tr>
<td>HtrA2/Oma</td>
<td>Death-inducing serine protease</td>
<td>27</td>
</tr>
<tr>
<td>Smac/DIABLO</td>
<td>Reverses caspase inhibition by IAPs</td>
<td>28 and 29</td>
</tr>
<tr>
<td>Hsp60/10</td>
<td>Promotes caspase activation</td>
<td>30 and 31</td>
</tr>
<tr>
<td>Procaspahe</td>
<td>Promotes apoptosis</td>
<td>32</td>
</tr>
</tbody>
</table>

Fig. 1. Schematic of apoptosis pathways.
cell will live or die. Recent studies indicate that this may be regulated, at least in part, by ubiquitin-dependent proteasomal degradation (42).

Apaf-1-independent Cell Death
A number of recent studies have defined a form of cell death that proceeds independently of Apaf-1 and caspase activation but is subject to regulation by factors typically associated with the apoptotic cascade. Following a variety of apoptotic stimuli, apoptosis inducing factor (AIF) is released from mitochondria via a mechanism regulated by Bcl-2 (25) and engages a form of cell death characterized by a number of biochemical and morphological alterations, none of which are altered by the caspase inhibitor zVAD-fmk (43). These observations appear to define a regulated form of cell death that retains certain features of apoptosis but cannot be classified as necrosis. Recent descriptions of an Apaf-1-independent but caspase-dependent form of cell death also imply the existence of a novel mechanism for the activation of caspases (44–46). These studies describe one or more forms of Apaf-1-independent death engaged by specific stimuli, including endoplasmic reticulum stress (46), serum withdrawal (44) that can be suppressed by Bcl-2 (44), caspase inhibitors, and significantly attenuated by the over-expression of catalytically inactive forms of caspases-9 and -12 (46). This series of observations indicates a complexity of caspase-dependent cell death that is not currently understood.

“Downstream” Regulators of the Mitochondria Pathway
One viewpoint held is that once a cell has released its cytochrome c, it is doomed to die. That said, why, if mitochondrial events irrevocably commit a cell to die, are there regulatory mechanisms downstream of cytochrome c release that halt the apoptotic process? Examples include the family of IAPs, some of which can bind and inactivate caspases (47). This may be attributed to the ability of certain IAPs to promote the ubiquitination and proteasomal degradation of the associated caspase (48). The potential of IAPs as targets for anticancer therapy was highlighted by the identification of Smac/DIABLO, a protein that binds and inhibits IAPs and, by doing so, releases active caspases that are then able to mediate apoptosis. Small peptides from the NH2-terminus of Smac/DIABLO are sufficient to displace IAPs from their target caspases, suggesting that small molecule design to disrupt such interactions in drug-resistant tumor cells may be possible (49). The Hsps are reported to mediate inhibition of the apoptotic cascade at points downstream of the release of cytochrome c. These include sequestration of cytochrome c by Hsp27, inhibition of Apaf-1 oligomerization by Hsp70 and Hsp90, and prevention of procaspase-9 recruitment to Apaf-1 by Hsp70 (50). Interestingly, many tumor types express elevated levels of Hsps that often correlate with a drug-resistant phenotype. It remains to be seen whether one or more of the Hsps represent feasible targets for the successful treatment of cancer.

Intracellular Signaling Pathways, Cancer, and the Regulation of Apoptosis
Although a variety of intracellular signaling events are activated in response to apoptotic stimuli, their precise role in regulating the downstream core apoptotic machinery is unclear. Attempts to integrate the complexity of intracellular signaling events with the regulation of apoptosis have generated a confusing number of possibilities, some or all of which may be physiologically relevant.

Activation of the JNK signaling pathway is frequently observed in association with the engagement of apoptosis. Whether JNK activation mediates a proapoptotic role or favors enhanced cellular survival is unclear (51, 52). However, a number of apoptotic molecules are targets for JNK-mediated phosphorylation, including p53 (53, 4), c-Myc (55), and the antiapoptotic Bcl-2 proteins, Bcl-2 and Bcl-xL (56). Each of these proteins is capable of regulating the release of cytochrome c, a key event in the activation of caspases, and one reported to depend upon the activity of JNK (57).

Apoptosis is negatively regulated by the activity of the phosphatidylinositol 3’-kinase to Akt kinase signaling cascade. This pathway is readily engaged by a number of survival factors including insulin-like growth factor I and platelet-derived growth factor and functions as a potent prosurvival signal in a variety of tumor types. The elevation of Akt activity in transformed cells may be attributed, at least in part, to the frequently observed accompanying mutations in PTEN, a phosphatase that opposes the activity of Akt. The precise mechanism of Akt-mediated survival is unclear but appears to involve the regulation of one or more apoptotic-related proteins (58). For example, Akt-mediated phosphorylation of Bad, a proapoptotic member of the Bcl-2 protein family, promotes the dissociation of Bad from Bcl-xL and, instead, its binding to 14-3-3 (59).

Akt-mediated phosphorylation can also impact on the transcriptional regulation of apoptosis. For example, Akt-mediated phosphorylation and inactivation of forkhead (FKHRL1) serve to limit the transcription of its target genes including FasL, insulin-like growth factor-binding protein (IGF-BP1; Ref. 60), and Bim (61), all of which function to promote apoptosis. In contrast, Akt is able to potentiate the activity of NF-κB by accelerating the degradation of its endogenous inhibitor, IκB (62). This can lead to an elevation in the expression of its target genes including the antiapoptotic Bcl-2 protein A1 (63), TRAF1 and TRAF-2, and the caspase inhibitors c-IAP1 and c-IAP2 (14, 64). Such activities of NF-κB may explain the frequently observed elevation of constitutive NF-κB activity in many tumor cells (65).

Oncogenes, Tumor Supressors, and Apoptosis
Our current understanding of how transformation is able to proceed focuses on the opposing activities of oncogenes such as Myc, which drive relentless cellular proliferation, and tumor suppressors (for example, p53), which can counter the consequences of these oncogenes by engaging apoptosis (see “Cancer and Apoptosis: First Principles”). In an effort by the cell to limit the devastating consequences of uncontrolled oncogene-driven proliferation, oncoproteins including
Myc, Ras, and E2F also have the capacity to induce apoptosis, often via a p53-dependent mechanism. Myc can influence apoptosis via a number of different routes (66, 67). These include the induction of p19ARF, an inhibitor of the p53 functional suppressor Mdm-2, leading to the induction of p53 and consequent apoptosis (68). In addition, Myc can induce death ligand expression (67, 69) or sensitize cells for the induction of apoptosis by Fas, TNF, and TNF-related apoptosis-inducing ligand (TRAIL) death receptors and via the mitochondrial-mediated pathway to apoptosis by elevating cellular sensitivity to BH3-containing proteins and the consequences of their ability to induce cytochrome c release (70, 71).

p53 represents the most well characterized of tumor suppressors with a clear role in the induction of apoptosis or cellular arrest in response to stresses such as DNA damage. As such, this gene is frequently mutated in cancers, thereby inactivating the protective proapoptotic role of p53 and contributing to the drug-resistant phenotype. Although it is clear that p53 is able to induce apoptosis, the precise mechanism remains unclear, with both transcriptional-dependent and -independent mechanisms being attributed to its ability to induce cell death (72–74).

In keeping with its role as a transcription factor, p53 is able to regulate the expression of a number of genes that have a direct role in regulating cellular sensitivity to apoptosis via both the death receptor signaling pathway and mitochondria-mediated events. These include Bax, Noxa, a BH3-containing proapoptotic protein that interacts with Bcl-2 (75), p53AIP1 (p53 apoptosis-inducing protein) (76), Fas, DR5, and Pldd, a novel death domain containing protein (77).

**Apoptosis Modulators: Potential Drug Targets in the Death Pathway**

As discussed, the development of tumors arises as a consequence of dysregulated proliferation and a suppression of apoptosis, and each of these primary defects provides an obvious opportunity for clinical intervention. Many of the current chemotherapeutics designed to perturb proliferation do so in such a crude manner that the resulting damage to normal cells limits their clinical efficacy. However, targeting and overcoming abnormalities in tumor cells that suppress apoptosis could generate a potent proapoptotic stimulus by virtue of the growth-deregulating mutations, for example Myc, which can drive apoptosis.

Reversal of the malignant phenotype by the introduction and/or restoration of wild-type p53 function constitutes the strategy of several pharmacological-based and gene therapy-focused approaches. Replacement of wild-type p53 using both viral and nonviral delivery systems, although promising in preclinical studies, has fallen short of expectation in the clinic as a consequence of limited target specificity, inefficient delivery, and adverse immune reaction (78). A number of small molecule-based approaches designed to modify the function of p53 include peptides and antibodies able to revert the conformation of mutant p53 to that of the wild type and, in doing so, restoring its transcriptional competence (79–81). The activity of p53 is negatively regulated by its association with Mdm-2, an E3 ubiquitin ligase that promotes the rapid proteasomal degradation of p53. Attempts to exploit this regulatory pathway to prolong the half-life of p53 include antisense treatment to reduce the levels of Mdm-2 and small peptides designed to disrupt the interaction between p53 and Mdm-2 (82).

The Bcl-2 proteins also represent a promising target for modulating tumor cell sensitivity to apoptosis (83). Overexpression of antiapoptotic Bcl-2 proteins is observed in many tumor types, which may contribute to the drug-resistant state and help mediate the expansion of a transformed population by disrupting normal cell turnover. In theory, overcoming a blockade induced by Bcl-2 or Bcl-xL would restore normal cellular homeostasis, reverse the drug-resistant phenotype, and restore tumor cell sensitivity to conventional chemotherapeutics. Clinical success using antisense oligonucleotides (84) and antibodies against Bcl-2 has been reported (85, 86). Additional small molecule-based strategies for disrupting the activities of antiapoptotic Bcl-2 proteins have arisen from our extensive understanding of the structures of these proteins. These include the design of small molecules able to bind to and inactivate the hydrophobic pocket in Bcl-2 and Bcl-xL, which is essential for its antiapoptotic function and which houses the docking site for the death-promoting members of the family (Bax, Bak, and Bad) via their BH3 domains (87). Alternative approaches include the use of BH3 peptides (88) or molecules that mimic BH3 domains to induce apoptosis (89).

The complexity of multiple kinase-mediated pathways that modulate cellular survival and the frequent dysregulation of these pathways in transformed cells have directed rational drug design toward a number of potential therapeutic tools designed to impact on these pathways. However, to date, the success of such strategies has been thwarted by disappointingly inefficient tumor regression, patient relapse, and associated tumor resistance. As a consequence, effort is often geared toward maximizing drug efficacy by the use of combination therapy. The outlook for the success of rational drug design, however, is not all bleak. Inhibitors of Bcr-Abl tyrosine kinase, the constitutive activation of which manifests in chronic myelogenous leukemia, showed extreme promise when first introduced (90). That said, more recent follow-up studies suggest that the long-term clinical use of this inhibitor is associated with the development of clinical resistance, such that its use may instead be confined to combination therapy (91). Receptor tyrosine kinases and their ligands also have important roles in tumor angiogenesis, the process by which new vasculature is developed, and one that is often dysregulated in tumors. VEGF is the primary endothelial growth factor whose activity is mediated by two high affinity receptors, VEGF receptor 1/FLT-1 and VEGF receptor 2/FLK-1/KDR. Inhibitors of the tyrosine kinases involved in tumor angiogenesis represent another optimistic anticancer therapy.

As highlighted earlier, one of the most conserved biochemical features of apoptotic cell death is the activation of caspases. Once activated, these destructive proteases proceed to systematically dismantle the cell to ensure its effective removal without damage to surrounding cells and tissue. Caspase activation represents the culmination of a sequence...
of events and, by definition, the bypass of any inhibitory mechanisms that may have developed in tumor cells to arrest the progression of this cascade of events.

The rationale for discovery of novel therapeutics to overcome the above-mentioned growth advantage mechanisms and be able to activate caspases may therefore represent a possible approach for effective tumor treatment that has several advantages over both conventional therapies and the more current “designer” approaches. In theory, the activation of caspases can result from intervention at numerous points in the apoptotic cascade, and such an approach broadens the focus to encompass molecules that can target Bcl-2 protein function alongside those that can preferentially overcome the prosurvival effects of Akt, for example. The only scenario in which the activation of caspases, either directly or indirectly, may not induce the death of the cell is if there are protective mechanisms downstream of caspase activation. To date, there have been no convincing examples of long-term cell rescue once caspases have been activated.

The hope for a successful resolution to the design of agents that effectively induce tumor regression lies, at least in part, in the need to overcome the inherent resistance of many transformed cells to the engagement of apoptosis. We hope that rising insights into the field of apoptosis and cancer will uncover new and effective strategies to tackle the complexity of tumor chemoresistance.

References


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