BH3 Mimetics: Status of the Field and New Developments

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Abstract

Targeting apoptosis is an attractive approach in cancer therapy. The BH3-only proteins of the BCL-2 family (having only the BCL-2 homology domain BH3) can trigger apoptosis by binding to the prosurvival members of this family and neutralizing their functional activity (sequestration of the proapoptotic Bcl-2 family members). The "BH3 mimetic" concept has prompted the development of small molecules capable of mimicking BH3-only proteins and thus inducing apoptosis. The prototype BH3 mimetic ABT-737 selectively targets the three prosurvival proteins BCL-XL, BCL-2, and BCL-W (but not MCL-1 or A1) and its oral derivative ABT-263 has proved promising in clinical trials. Some putative BH3 mimetics are also tested clinically while others are still being characterized. This article recapitulates the various known BH3 mimetics and presents the recent developments in the field. The latter include (i) the identification of molecular determinants responsible for the specific interactions between BH3 motifs and the binding grooves of prosurvival proteins and (ii) the characterization of new compounds and particularly BH3 mimetics that antagonize either selectively MCL-1 or BCL-2 or a broad range of prosurvival proteins. These data are critical advances toward the discovery of novel anticancer agents. Mol Cancer Ther; 12(9): 1691–700. ©2013 AACR.

Introduction

The apoptotic cell death program serves as a natural barrier to tumor development. Evading this program is a hallmark of cancer and constitutes an important mechanism in therapeutic resistance (1). This prompted the development of novel anticancer strategies targeting apoptosis (2) such as the inhibition of survival factors that are overexpressed in many malignancies (3, 4). Among the survival factors, those of the BCL-2 family have attracted much attention (5).

It is known that BCL-2 family proteins (sharing at least one of the four domains of BCL-2 homology: BH1–BH4) are key regulators of the balance between cell life and death. They control the mitochondrial membrane permeabilization allowing the liberation in the cytoplasm of the apoptogenic factors including cytochrome C, responsible for the cascade of caspase activation (6). The functional activity of the prosurvival members of the family (BCL-2, BCL-XL, BCL-W, MCL-1, and A1) is to sequester the proapoptotic members BAX and BAK, whereas only BCL-XL and MCL-1 can bind to BAK. This antiapoptotic activity is antagonized by the BH3-only members (so-called because they only have the BH3 domain): the BH3-only proteins (NOXA, BAD and BIM for example) insert their \( \alpha \)-helical BH3 domain into the hydrophobic groove of prosurvival proteins resulting (by displacement) in the release of sequestered BAX and BAK and their activation (indirect model of activation). These interactions are selective: NOXA binds only to MCL-1 and A1, and BAD binds only to BCL-2, BCL-XL, and BCL-W, whereas BIM can bind to all five prosurvival proteins (6). There is also a model of direct activation in which certain "activator" BH3-only proteins (BIM, tBID) can directly engage and activate BAX and BAK. In this model, the "activator" proteins are sequestered by prosurvival proteins and the interaction between the latter and "sensitizer" BH3-only proteins (BAD, NOXA) induces the release (by competitive displacement) of the "activator" proteins (6). In either model, the binding of BH3-only proteins to prosurvival proteins results in BAX/BAK activation (5).

These data spawned the concept of the "BH3 mimetic" (7): a small molecule (either nonpeptide or derived from BH3-only domains that mimics the BH3-domain by inserting their BH3 domain into the hydrophobic groove of the prosurvival BCL-2 proteins, inhibiting their functional activity, and thus inducing apoptosis (5, 7). The BH3 mimetic concept is now considered as an attractive means to generate cancer drug candidates (1, 5, 8–10). Among the numerous generated compounds, ABT-737 (11) appears to be the prototype of an authentic BH3 mimetic: by binding with high affinity (in the nmol/L range) to BCL-2, BCL-XL, and BCL-W, but not MCL-1 or A1, ABT-737 and its oral version ABT-263 (also called navitoclax) can induce BAX/BAK-dependent apoptosis in vitro and have antitumor effects in animal models (12,
3. Until recently, most of the other known compounds did not fully meet the major criteria for defining a bona fide BH3 mimic, i.e., high-affinity binding to prosurvival BCL-2 proteins and induction of BAX/BAX-dependent apoptosis as proposed by Lessene and colleagues (5). Showing off-target effects, these compounds were considered as putative BH3 mimetics (5, 9, 13–16). Given that MCL-1 has emerged as a critical prosurvival factor in a number of malignancies and in drug resistance (17), there is an urgent need to identify MCL-1–specific BH3 mimetics (18, 19). The development of small molecules capable of targeting all the main antiapoptotic BCL-2 proteins is also of clear therapeutic interest (8, 20–22).

This article gives an overview of the previous and new developments in BH3 mimic research. It first summarizes the known true and putative BH3 mimetics and the further characterization of some of the latter. It describes important contributions to identify molecular determinants responsible for the specificity of interaction between α-helical BH3 motifs and the hydrophobic grooves of either MCL-1 or BCL-XL. It then presents new compounds that have been proposed to function as BH3 mimetics, a direct “activator” of BAX and three new true BH3 mimetics: one is derived from the BH3 domain of BIM (a pan-BH3-only protein) and can antagonize a broad range of antiapoptotic proteins; MIM 1 selectively targets the binding pocket of MCL-1 and inhibits its activity; ABT-199, a second-generation derivative of ABT-737, is specific for BCL-2.

Previously Characterized BH3 Mimetics

A number of natural or synthetic small-molecule inhibitors of BCL-2 which were initially described (e.g., terphenyl, HA14-1, antimycin A, BH3-I, chelerythrine) showed either cytotoxicity or poor pharmacologic properties or failed to directly bind to the hydrophobic pocket of BCL-2 proteins with high affinity and/or induce BAX/BAX-dependent apoptosis (5, 7). Antagonists of several prosurvival BCL-2 proteins that have been further reported or have entered into clinical trials were extensively reviewed (4, 5, 8, 9, 16, 18). The chemical structures of these compounds are presented in Fig. 1, and their characteristics are recapitulated in Table 1 and briefly summarized below.

**ABT-737 and ABT-263 (navitoclax)**

As mentioned above, ABT-737 and its orally available derivative navitoclax (ABT-263; 11–13) are prototypic BH3 mimetics. They were considered for a time to be the sole true BH3 mimetics according to the criteria defined by Lessene and colleagues (5) because the other known compounds did not bind the prosurvival proteins with a high enough affinity and/or killed BAX- and BAK-deficient cells as well as wild-type cells (5, 9, 13–15). The characteristics of navitoclax and ABT-737 largely overlap (except their pharmacokinetic properties). Notably, both compounds mimic the BH3-only protein BAD by binding to BCL-2, BCL-XL, and BCL-W but not Mcl-1 or A1 and are thus referred to as BAD-like BH3 mimetics. Induction of autophagy is not an off-target effect of ABT-737 but is due to the disruption of the interaction of BECLIN 1 with BCL-2 and BCL-XL (23). ABT-737 and navitoclax show significant proapoptotic and antitumor effects only if MCL-1 is not or weakly expressed. The fact that these BH3 mimetics cannot bind to MCL-1 is one of the main causes of resistance particularly when cancer cells overexpress MCL-1 (9, 13). Promising data of phase I/II clinical trials with navitoclax have been recorded for various types of cancer (24–26).

**Obatoclax**

Also called GX15-070, this synthetic derivative of natural prodigiosins binds to all five prosurvival BCL-2 proteins albeit at low affinity (in the μmol/L range; ref. 27). Of note, this affinity is as low as that of ABT-737 for MCL-1 and moreover, obatoclax kills BAX/BAX-deficient cells as potently as it kills wild-type cells (12, 14). Therefore, obatoclax does not meet the two criteria defining an authentic BH3 mimetic. In contrast, it seems rather to act through off-target mechanisms such as caspase-independent or autophagic cell death, endoplasmic reticulum stress response, and Noxa upregulation, the latter resulting in dissociation of MCL-1/BAX complex (14–16). This explains how this putative pan-BH3 mimetic can elicit apoptosis and overcome the resistance of malignant cells to ABT-737 that cannot bind MCL-1 (5, 9, 13, 15). A number of phase I/II trials in a variety of cancers have not proved convincing single-agent activity of obatoclax. Although it is well tolerated, dose escalation is limited by neurologic toxicity. Phase III trials with obatoclax in combination therapies are ongoing (28).

**Gossypol family**

Gossypol is a plant-derived polyphenolic aldehyde which has been used in the clinic for a long time before the discovery of its putative pan-BH3 mimetic properties [binding to BCL-2, BCL-XL, and MCL-1 with modest (sub-μmol/L) affinities; refs. 8, 18, 29]. Its (+) enantiomer called AT-101 proved better proapoptotic and antitumor activities than gossypol in vitro and in animal models (8). However, this compound has shown only limited efficacy in clinical trials (9). Gossypol derivatives lacking the two aldehyde groups were designed to reduce toxicity: apogossypol and apogossypolone (ApoG2) are currently in preclinical evaluation, whereas the benzoylsulfonamide derivative TW37 has already reached phase I/II trials (5, 8, 18, 30, 31). All these compounds have similar binding capacities (with minor differences regarding BCL-W or BCL-B) with moderate affinity, induce partially apoptotic MCL-1/BAX-deficient cells, and show several off-target effects, indicating that they operate only partly as pan-BH3 mimetics (5, 9, 12–16). Interestingly, the apogossypol derivative BI-97C1 (also known as sabutoclax)
was reported to bind to prosurvival proteins (including A1) with higher affinities than the previous gossypol derivatives, to induce slight apoptosis in BAX/BAK-deficient cells and a few off-target effects (32).

**Other BH3 mimetics**

Walensky and colleagues originally generated a series of stabilized α-helix of BCL-2 domains (SAHB) designed to target prosurvival BCL-2 family members (33). These small molecules are hydrocarbon-stapled peptides derived from BH3 domains of BCL-2 proteins and are structurally stable, protease-resistant and cell-permeable. One of these compounds (SAHBα) modeled on the BH3-only protein BID was found to bind to BCL-2 and BCL-XL with moderate affinity and induce BAX/BAK-dependent apoptosis in vitro and antileukemic effects in vivo (33). However, no clinical data on this interesting SAHB with a BAD-like behavior has been reported. Two putative pan-BH3 mimetics were likewise characterized only in preclinical studies: the synthetic heterocyclic compound S1 (34), which is described in the next section, and the peptide 072RB based on the BH3 region of BIM (35). Both were found capable of proapoptotic and antitumor effects (including in vivo) but did not fully meet the criteria for a true BH3 mimic (15, 35).

Furthermore, two different laboratories have provided proof-of-concept that NOXA-like BH3 mimetics (specific for the crucial antiapoptotic protein MCL-1) can be designed: BIM2A is a variant of the BIM BH3 region (36) and MCL-1 SAHB is derived from the BH3 domain of MCL-1 itself (37). Ultrastructural analyses by X-ray crystallography indicated that both compounds selectively interact with the hydrophobic binding groove of MCL-1 and revealed the peptide determinants responsible for this interaction. Like NOXA, both BIM2A and MCL-1 SAHB are potent inhibitors of MCL-1-mediated antiapoptotic activity and show antitumor effects in hematologic cancer cell lines. BIM2A...
also shows antileukemic activity in animal models (Table 1).

Finally, Gavathiotis and colleagues have reported that a SAHB derived from the BIM BH3-a-helix can directly bind to BAX at an interaction site that is distinct from the canonical binding groove of prosurvival BCL-2 proteins (38). This interaction was further shown to induce the conformational change of the BAX activation (39). These data suggest that the BH3 mimetic concept might be used to design compounds having the ability to mimic “activator” BH3-only proteins according to the model of direct BAX activation.

Further Characterization of Putative BH3 Mimetics

S1

This small-molecule inhibitor was initially suggested to be a pan-BH3 mimic because it binds to both BCL-2 and MCL-1 (albeit with modest affinity: in the sub μmol/L range), disrupts BCL-2/BAX and MCL-1/BAX complexes, and triggers BAX/BAK-dependent apoptosis (34). A subsequent report showed that S1 does not act as a BH3 mimic but instead induces the BH3-only protein NOXA (a specific antagonistic ligand for MCL-1) thus resulting in BAK release (15). Further characterization of S1 has been provided by recent data. One study described a dynamic change in the interactions of BIM with BCL-2 and MCL-1 leading to BAK release, suggesting that this mechanism might be involved in the effects of S1 in cells overexpressing MCL-1 (40). A second study indicated that autophagy has an important role in S1-triggered cell death through endoplasmic reticulum stress and disruption of the BCL-2/BECLIN 1 interaction (41).

Apogossypolone (ApoG2)

This gossypol derivative (lacking the two aldehyde groups) is a putative BH3 mimic that binds to BCL-2, BCL-XL, and MCL-1 with moderate affinity (sub μmol/L range) and induces apoptosis in various tumor cells (30). Interestingly, a recent report showed that BAX and BAK are required for the apoptotic activity of ApoG2 (42), indicating that this compound acts at least partly through BH3 mimicry. This report also showed that ApoG2 can trigger the mitochondrial pathway for apoptosis in primary chronic lymphocytic leukemia (CLL) cells suggesting its potential value in CLL given that overexpression of several prosurvival BCL-2 family proteins (including MCL-1) has a crucial role in impaired apoptosis in CLL (16).

Obatoclax

Two studies have described new characteristics of this putative BH3 mimic. The one reported that inhibition of the AKT/mTOR pathway is an additional off-target effect of obatoclax (43). The other has indicated that this compound can directly activate BAX (44), supporting the data of Gavathiotis and colleagues with the SAHB derived from BIM capable of binding and activating BAX (38, 39). This suggests that obatoclax, which does not bind prosurvival BCL-2 proteins with high affinity or induce BAX/BAK-dependent apoptosis, could however mimic an “activator” BH3-only protein.

Identification of New Putative BH3 Mimetics

Five new compounds were proposed to function as BH3 mimetics. Their chemical structures are shown in Fig. 2 and their characteristics are described below and listed in Table 2. In vivo antitumor activity has been only reported for BI-97D6.

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Table 1. Previously characterized BH3 mimetics

<table>
<thead>
<tr>
<th>Name</th>
<th>Origin</th>
<th>BH3 mimicry</th>
<th>BH3 mimic</th>
<th>In vivo antitumor effects in animal models</th>
<th>Clinical trials</th>
</tr>
</thead>
<tbody>
<tr>
<td>ABT-737</td>
<td>Small organic molecule</td>
<td>BAD-like</td>
<td>Authentic</td>
<td>Yes</td>
<td>No</td>
</tr>
<tr>
<td>ABT-263/navitoclax</td>
<td>ABT-737 derivative</td>
<td>BAD-like</td>
<td>Authentic</td>
<td>Yes</td>
<td>Yes</td>
</tr>
<tr>
<td>Obatoclax</td>
<td>Derivative of natural progidiosin</td>
<td>Pan-BH3-only</td>
<td>Putative/off-target effects</td>
<td>Yes</td>
<td>Yes</td>
</tr>
<tr>
<td>Gossypol</td>
<td>Plant-derived polyphenol</td>
<td>Pan-BH3-only</td>
<td>Partial/off-target effects</td>
<td>Yes</td>
<td>Yes</td>
</tr>
<tr>
<td>AT-101</td>
<td>Gossypol isomer</td>
<td>Pan-BH3-only</td>
<td>Partial/off-target effects</td>
<td>Yes</td>
<td>Yes</td>
</tr>
<tr>
<td>Apogossypol</td>
<td>Gossypol derivative</td>
<td>Pan-BH3-only</td>
<td>Partial/off-target effects</td>
<td>No</td>
<td>No</td>
</tr>
<tr>
<td>Apogossypolone/ApoG2</td>
<td>AT-101 derivative</td>
<td>Pan-BH3-only</td>
<td>Partial/off-target effects</td>
<td>No</td>
<td>No</td>
</tr>
<tr>
<td>BI-97C1/sabutoclax</td>
<td>Apogossypol derivative</td>
<td>Pan-BH3-only</td>
<td>Partial/off-target effects</td>
<td>No</td>
<td>No</td>
</tr>
<tr>
<td>TW37</td>
<td>Gossypol analog</td>
<td>Pan-BH3-only</td>
<td>Partial/off-target effects</td>
<td>Yes</td>
<td>Yes</td>
</tr>
<tr>
<td>S1</td>
<td>Heterocyclic compound</td>
<td>Pan-BH3-only</td>
<td>Partial/off-target effects</td>
<td>No</td>
<td>No</td>
</tr>
<tr>
<td>072RB</td>
<td>BIM BH3-derived peptide</td>
<td>Pan-BH3-only</td>
<td>Putative</td>
<td>No</td>
<td>No</td>
</tr>
<tr>
<td>SAHB52A</td>
<td>BID BH3-derived SAHB</td>
<td>BAD-like</td>
<td>Putative</td>
<td>No</td>
<td>No</td>
</tr>
<tr>
<td>BIMβ2A</td>
<td>BIM BH3 mutant</td>
<td>NOXA-like</td>
<td>Authentic</td>
<td>Yes</td>
<td>No</td>
</tr>
<tr>
<td>Mcl-1 SAHB</td>
<td>MCL-1 BH3-derived SAHB</td>
<td>NOXA-like</td>
<td>Authentic</td>
<td>ND</td>
<td>No</td>
</tr>
</tbody>
</table>

Abbreviations: BH3, BCL-2 homology domain 3; ND, not determined.
BI-97D6 is the newer compound of the gossypol family: this (+) atropisomer of ApoG2 inhibits the binding of BH3 peptides to BCL-X<sub>L</sub>, BCL-2, MCL-1, and A1 albeit with modest affinity (in the sub μmol/L range). It displays in vivo effects in both BCL-2-transgenic and cancer xenograft mouse models (31). The observation that it shows only little cytotoxicity against BAX/BAK-deficient cells suggests a mechanism of action predominantly dependent on the BAX/BAK pathway. Though its real binding affinities are not known, BI-97D6 may represent an interesting compound for BH3 mimetic research.

S1 Derivative
A series of substituted S1 has led to the discovery of a compound that binds to the grooves of BCL-2, BCL-X<sub>L</sub>, and MCL-1 with an affinity 9- to 35-fold higher (in the 10–20 nmol/L range) and induces a better proapoptotic activity in tumor cell lines than the parental S1 does (45). This S1 derivative might be considered to be an

Table 2. New putative BH3 mimetics

<table>
<thead>
<tr>
<th>Name</th>
<th>Origin</th>
<th>Targets</th>
<th>Binding affinity</th>
<th>BAX/BAK-dependent apoptosis</th>
<th>Antitumor effects in preclinical models</th>
</tr>
</thead>
<tbody>
<tr>
<td>BI-97D6</td>
<td>ApoG2 atropisomer</td>
<td>BCL-2, BCL-X&lt;sub&gt;L&lt;/sub&gt;, MCL-1, A1</td>
<td>Modest (sub μmol/L)</td>
<td>Predominant</td>
<td>Yes</td>
</tr>
<tr>
<td>S1 derivative</td>
<td>Substituted S1</td>
<td>BCL-2, BCL-X&lt;sub&gt;L&lt;/sub&gt;, MCL-1</td>
<td>Moderate (10 nmol/L)</td>
<td>ND</td>
<td>No</td>
</tr>
<tr>
<td>BH3-M6</td>
<td>Terphenyl derivative</td>
<td>BCL-2, BCL-X&lt;sub&gt;L&lt;/sub&gt;, MCL-1</td>
<td>ND</td>
<td>BAX-independent</td>
<td>No</td>
</tr>
<tr>
<td>Polyquinolines</td>
<td>Quinoline derivatives</td>
<td>BCL-2, BCL-X&lt;sub&gt;L&lt;/sub&gt;, MCL-1</td>
<td>Low (μmol/L)</td>
<td>BAK-dependent</td>
<td>No</td>
</tr>
<tr>
<td>Maritoclax</td>
<td>Isolated from marine streptomycetes</td>
<td>MCL-1, A1</td>
<td>ND</td>
<td>ND</td>
<td>No</td>
</tr>
</tbody>
</table>

Abbreviation: ND, not determined.
authentic pan-BH3 mimic provided that it has kept the ability of the parent S1 to induce the BAX/BAK pathway.

**BH3-M6**

BH3-M6 is a synthetic terphenyl scaffold with functional groups that mimic the naturally occurring key amino acids in the BH3 helix (20). Computational docking studies suggested that the compound can bind BCL-X<sub>L</sub>, BCL-2, and MCL-1. Experiments in cell-free systems and intact human cancer cells showed that BH3-M6 prevents the interaction of the three prosurvival proteins with the BH3-only proteins BIM and BAD and the proapoptotic multi-BH domain proteins BAX and Bak. These effects result in release of proapoptotic proteins, activation of caspase 8/10, and induction of apoptosis (20). It was concluded that BH3-M6 is a pan-BCL-2 family inhibitor (20). However, the binding affinities have not been determined and BH3-M6–promoted apoptosis is BAX-dependent but BAK-independent. Therefore, BH3-M6 does not meet the main criteria for BH3 mimicry (high-binding affinity and BAX/BAK-dependent apoptosis; ref. 5).

**Polyquinoline derivatives**

Several synthetic polyquinoline derivatives (especially dimeric derivatives of quinoline) can bind to BCL-X<sub>L</sub>, BCL-2, MCL-1, and A1 albeit with low affinity (in the μmol/L range; ref. 46). These compounds inhibit the interaction of BIM with the prosurvival proteins and induce BAX/BAK-dependent apoptosis in malignant human lymphoid cells (but not peripheral blood mononuclear cells from healthy donors). Although quinoline derivatives represent a new class of pan-BCL-2 inhibitors, they may not be considered as true BH3 mimetics because of their low binding affinities.

**Marinopyrrole A (maritoclax)**

It was reported that this natural product derived from a species of marine streptomyces (47) binds to MCL-1, but not BCL-X<sub>L</sub>. The compound disrupts the MCL-1/BI M complex, and elicits apoptosis in leukemia/lymphoma cell lines that are MCL-1–dependent, but not BCL-2 or BCL-X<sub>L</sub>–dependent (48). These effects were associated with the degradation of MCL-1. The authors claimed to have identified a novel type of selective MCL-1 inhibitor capable of binding to and inducing the degradation of MCL-1. However, both the binding affinities and involvement of the BAX/BAK pathway have to be determined to evaluate whether maritoclax is indeed a natural BH3 mimic specific for MCL-1.

**Advances in Determining the Specificity of Interaction between BH3 Motifs and the Hydrophobic Grooves of BCL-X<sub>L</sub> and MCL-1 and Implications in the Design of BH3 Mimetics**

As already mentioned, the identification of MCL-1–specific and pan-BH3 mimetics appears as a priority. To help in designing such compounds or improving the activity of known agents, several recent studies have attempted to define the molecular determinants responsible for the interaction of BH3 sequences with the binding sites in the groove of either MCL-1 or BCL-X<sub>L</sub>. The latter is indeed capable of sequestering both BAX and Bak (like MCL-1), whereas the other prosurvival proteins BCL-2, BCL-W, BCL-B, or A1 can sequester only BAX.

The approach of Delgado-Soler and colleagues was to investigate which residues of the BH3 domain of BIM contribute to the interactions with each of the five main prosurvival proteins (BCL-2, BCL-X<sub>L</sub>, BCL-W, MCL-1, and A1; ref. 21). The direct visualization of different complexes in live cells identified a BIM<sub>B</sub>-BAD BH3 mutant as a specific partner for BCL-X<sub>L</sub> but not BCL-W and MCL-1; this approach could be extended to other mutants such as the MCL-1–specific BIM<sub>2A</sub> (49). By studying different BH3 domains’ interaction with BCL-X<sub>L</sub>, a rational design of BH3 mimetics as inhibitors of BCL-X<sub>L</sub> was proposed (50). Furthermore, the synthesis of a series of benzoyluracils enabled the analysis of the conformational constraints involved in the BH3 domain/BCL-X<sub>L</sub> interaction (51). Boersma and colleagues showed that diverse α/β patterns of functional helix in the BIM BH3 domain play a role in recognizing BCL-X<sub>L</sub> and MCL-1 (52). Regarding the strategy of designing hydrocarbon-stapled peptides of the BH3 helix (SAHB type), it was reported that the binding affinity depends on the staple’s position along the peptide (53). There is a significant interest in the observation that BIM BH3 domain mutants with two or three sequence changes can bind selectively to only BCL-X<sub>L</sub> or MCL-1 (54). A similar result has been found with another BIM BH3 mutant (BIM<sub>2A</sub>) which is a specific ligand for MCL-1 but not BCL-X<sub>L</sub> (36). By screening a library of rhodanine derivatives for inhibition of BCL-2 proteins, two isomers that bind differentially to BCL-X<sub>L</sub> and MCL-1 were identified (55). Analysis of BCL-X<sub>L</sub> and MCL-1 mutants revealed several amino acids in the hydrophobic grooves which are essential for binding. Moreover, the position of a methoxy group can determine (via steric repulsion) the isomer’s orientation at the binding site. Nevertheless, the value of these data is limited by the low binding affinities (μmol/L range) of the compounds (55). Dutta and colleagues compared the sequence determinants of BH3-binding specificity for MCL-1 versus BCL-X<sub>L</sub> (by screening of BH3 peptide libraries) and identified BH3 peptides that selectively interact with either MCL-1 or BCL-X<sub>L</sub> (or both) with high affinity. By examining the effects of 170 point mutations in the BH3 region of BIM, these investigators characterized important sequence features and constructed a predictive model that explains much of the binding specificity (56). Together, these findings may be used to improve the binding affinity of either MCL-1–specific or pan-BH3 mimetics.

To describe new peptide sequences which interact with the BH3-binding groove of MCL-1, an original approach that is not based on traditional BH3 peptides was
Table 3. Characteristics of the new BH3 mimetics ABT-199, BIM SAHB, MIM 1, and BAM7, and comparison with ABT-737/263

<table>
<thead>
<tr>
<th>Compound</th>
<th>Type</th>
<th>Approach</th>
<th>Binding</th>
<th>Targets</th>
<th>Activity</th>
<th>Observations</th>
</tr>
</thead>
<tbody>
<tr>
<td>ABT-199</td>
<td>Small molecule capable of binding to the BH3 domain of MCL-1</td>
<td>Competitive binding</td>
<td>NOXA-like</td>
<td>MCL-1</td>
<td>NOXA-like docking studiesa</td>
<td>In vivo antitumor effects; in vitro antitumor effects; Phase I clinical trials in mantle cell lymphoma</td>
</tr>
<tr>
<td>BIM SAHB</td>
<td>Derivative of the BIM BH3 helix</td>
<td>Competitive binding</td>
<td>NOXA-like</td>
<td>MCL-1</td>
<td>NOXA-like docking studiesa</td>
<td>In vivo antitumor effects; in vitro antitumor effects; Phase I clinical trial in mantle cell lymphoma</td>
</tr>
<tr>
<td>MIM 1</td>
<td>Small molecule displacing the binding of MCL-1 SAHB to the hydrophobic groove of MCL-1</td>
<td>Competitive binding</td>
<td>NOXA-like</td>
<td>MCL-1</td>
<td>NOXA-like docking studiesa</td>
<td>In vivo antitumor effects; Phase I clinical trials in mantle cell lymphoma</td>
</tr>
<tr>
<td>BAM7</td>
<td>Small molecule disrupting the binding of a BIM SAHB to the hydrophobic groove of MCL-1</td>
<td>Competitive binding</td>
<td>NOXA-like</td>
<td>MCL-1</td>
<td>NOXA-like docking studiesa</td>
<td>In vivo antitumor effects; in vitro antitumor effects; Phase I clinical trials in mantle cell lymphoma</td>
</tr>
</tbody>
</table>

Characterization of Novel BH3 Mimetics

Three new BH3 mimetics and a direct BAX activator molecule have been recently described. Their chemical structures are shown in Fig. 2 and their characteristics are presented below and recapitulated in Table 3.

ABT-199: a highly specific inhibitor of BCL-2

This compound is a synthetic derivative of navitoclax (ABT-263) which was developed for improving the clinical use of the latter (61). A dose-limiting thrombocytopenia is indeed a side-effect rapidly observed upon treatment of patients with navitoclax. Thrombocytopenia is not caused by decreased platelet production but by an on-target inhibition of BCL-X\textsubscript{L} activity whose physiologic function is to protect platelets from apoptosis as they age (62). The navitoclax derivative has been designed to avoid this side-effect on the basis of the X-ray crystal structure of the BCL-2/small-molecule complex. ABT-199 binds only to BCL-2 with high affinity but not to BCL-X\textsubscript{L} and induces BAX/BAK-dependent apoptosis in a number of hematologic tumor cell lines. While sparing human platelets, this true BH3 mimetic also promotes regression of BCL-2-dependent human hematologic malignancies in animal
models (61). In addition, a single administration of ABT-199 reduces tumor burden in the first 3 patients with CLL having entered a clinical trial (61). Actually, a phase I study of ABT-199 as a single agent in CLL and non-Hodgkin lymphoma is already ongoing (http://clinicaltrials.gov/NCT01328626). Preliminary data of the study on patients with non-Hodgkin lymphoma (n = 17) indicate that ABT-199 is well-tolerated, does not provoke thrombocytopenia or any dose-limiting toxicities, and shows promising antitumor activity (63).

**BIM SAHB: a BCL-X\textsubscript{L} and MCL-1 antagonist with great therapeutic potential**

The study conducted by LaBelle and colleagues has recently characterized the pharmacologic potential of a SAHB modeled on the BH3 helix of BIM (capable of engaging the five main prosurvival BCL-2 proteins; ref. 22). The BIM SAHB indeed binds to BCL-X\textsubscript{L}, BCL-W, MCL-1, and A1 with nanomolar affinity, blocks the sequestration of BAX by BCL-X\textsubscript{L} and BAK by MCL-1, and directly triggers BAX/BAK-dependent mitochondrial cytochrome C release: it therefore displays the hallmarks of a true pan-BH3 mimetic (Table 3). The BIM SAHB colocalizes with mitochondria, activates caspases-3 and -7, reactivates cell BH3 proteins. This compound (a pirazolone core substituted with different groups) induces BAX activation and BAX-mediated apoptosis in BAK-deficient cells (66). These data provide proof-of-concept that “activator” BH3 mimetics can be designed.

**BAM7: a direct BAX activator**

Gavathiotis and colleagues previously reported that a SAHB derived from BIM can bind to BAX at a site that directly triggers its activation (38, 39). By in silico screening libraries of small molecules for displacing their SAHB from its ligand BAX, Gavathiotis and colleagues have recently identified a BAX activator molecule, BAM7, which interacts selectively with the BAX trigger site but not with the canonical BH3-binding groove of prosurvival BCL-2 proteins. This compound (a pirazolone core substituted with different groups) induces BAX activation and BAX-mediated apoptosis in BAK-deficient cells (66). These data provide proof-of-concept that “activator” BH3 mimetics can be designed.

**Conclusions**

The findings that the small molecule BAM7 induces apoptosis through the direct binding and activation of BAX and that the putative BH3 mimetic obatoclax can directly activate BAX of significant interest. They indicate that compounds that do not meet the criteria defining a true BH3 mimic can yet behave as such in the context of the direct activation model, opening a new avenue of research with the development of “activator” BH3 mimetics. This raises the question whether putative BH3 mimetics other than obatoclax might be capable of directly activating BAX. The possibility that direct activators of BAK (the other executioner molecule of the mitochondrial membrane permeabilization) can be designed also deserves to be explored.

Regarding inhibitors of prosurvival BCL-2 protein activity, eight new small molecules were recently identified, one of which is specific for BCL-2, whereas two are selective for MCL-1 and the five others target several antiapoptotic proteins. The compounds BI-97D6, BH3-M6, polyquinolines, maritoclax, and the S1 derivative do not presently meet the criteria that define an authentic BH3 mimic. Unless further demonstrations that these compounds can act as true BH3 mimetics, they should not be used as such to avoid misleading off-target effects. Data concerning molecular determinants (including peptide sequence organization) responsible for the specificity of interaction between BH3 motifs and the hydrophobic grooves of BCL-X\textsubscript{L} and MCL-1 are likely to be crucial for improving the binding affinity of BH3 mimetic candidates and thus their biologic efficiency and therapeutic potential. The discovery of three authentic BH3 mimetics is the icing on the cake because they represent three novel types of BH3 mimetics. One, ABT-199, is an antagonistic ligand specific for BCL-2 and the final results of ongoing clinical trials are eagerly awaited. The two others have been identified from the SAHB approach: MIM 1 is highly specific for MCL-1 and BIM SAHB has nearly all the features of a pan-BH3 mimic.

These data as a whole are critical advances toward the development of several new kinds of BH3 mimic anti-cancer agents that would constitute the ultimate accolade in research on BH3 mimetics.
Developing BH3 Mimetics for Apoptosis

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